

**286.11-057 Penalties.**

- (1) A person that intentionally or knowingly makes a false statement, misrepresentation, or false certification in a record filed or required to be maintained under this subtitle or that intentionally or knowingly makes a false entry or omits a material entry in such a record is guilty of a Class D felony.
- (2) A person that intentionally or knowingly engages in any activity for which a license is required under this subtitle without being licensed under this subtitle is guilty of a Class C felony.

**Effective:** April 24, 2006

**History:** Created 2006 Ky. Acts ch. 247, sec. 29, effective April 24, 2006.

**Legislative Research Commission Note** (7/12/2006). This section was created in 2006 Ky. Acts ch. 247 as a new section of KRS Chapter 366A. Sec. 38 of that same bill also required that all sections of KRS Chapters 287, 288, 290, 291, 294, 366, 366A, and 368 be renumbered as sections of a single KRS chapter entitled the "Kentucky Financial Services Code." Therefore, the Statute Reviser, acting under KRS 7.136(1), has codified this section as a new section of KRS Chapter 286.