

## FEDERAL MINIMUM REQUIREMENT

Pub. L. 105-357, §2(b), Nov. 10, 1998, 112 Stat. 3271, provided that: "Section 1006(a)(2) of the Controlled Substances Import and Export Act [21 U.S.C. 956(a)(2)], as added by this section, is a minimum Federal requirement and shall not be construed to limit a State from imposing any additional requirement."

Pub. L. 105-277, div. C, title VIII, §872(b), Oct. 21, 1998, 112 Stat. 2681-707, enacted a provision substantially identical to that enacted by Pub. L. 105-357, §2(b), set out above.

## JURISDICTION OF SECRETARY OF HEALTH AND HUMAN SERVICES

Pub. L. 105-277, div. C, title VIII, §872(c), Oct. 21, 1998, 112 Stat. 2681-707, and Pub. L. 105-357, §2(c), Nov. 10, 1998, 112 Stat. 3271, provided that: "The amendment made by subsection (a) [amending this section] shall not be construed to affect the jurisdiction of the Secretary of Health and Human Services under the Federal Food, Drug and Cosmetic Act (21 U.S.C. 301 et seq.)."

**§ 957. Persons required to register****(a) Coverage**

No person may—

(1) import into the customs territory of the United States from any place outside thereof (but within the United States), or import into the United States from any place outside thereof, any controlled substance or list I chemical, or

(2) export from the United States any controlled substance or list I chemical,

unless there is in effect with respect to such person a registration issued by the Attorney General under section 958 of this title, or unless such person is exempt from registration under subsection (b) of this section.

**(b) Exemptions**

(1) The following persons shall not be required to register under the provisions of this section and may lawfully possess a controlled substance or list I chemical:

(A) An agent or an employee of any importer or exporter registered under section 958 of this title if such agent or employee is acting in the usual course of his business or employment.

(B) A common or contract carrier or warehouseman, or an employee thereof, whose possession of any controlled substance or list I chemical is in the usual course of his business or employment.

(C) An ultimate user who possesses such substance for a purpose specified in section 802(25)<sup>1</sup> of this title and in conformity with an exemption granted under section 956(a) of this title.

(2) The Attorney General may, by regulation, waive the requirement for registration of certain importers and exporters if he finds it consistent with the public health and safety; and may authorize any such importer or exporter to possess controlled substances or list I chemicals for purposes of importation and exportation.

(Pub. L. 91-513, title III, §1007, Oct. 27, 1970, 84 Stat. 1288; Pub. L. 98-473, title II, §523, Oct. 12, 1984, 98 Stat. 2076; Pub. L. 103-200, §3(e), Dec. 17, 1993, 107 Stat. 2337.)

<sup>1</sup> See References in Text note below.

## REFERENCES IN TEXT

Section 802(25) of this title, referred to in subsec. (b)(1)(C), was redesignated section 802(26) of this title by Pub. L. 98-473, title II, §507(a), Oct. 12, 1984, 98 Stat. 2071, and was further redesignated section 802(27) of this title by Pub. L. 99-570, title I, §1003(b)(2), Oct. 27, 1986, 100 Stat. 3207-6.

## AMENDMENTS

1993—Subsec. (a)(1). Pub. L. 103-200, §3(e)(1)(A), inserted "or list I chemical" after "controlled substance".

Subsec. (a)(2). Pub. L. 103-200, §3(e)(1)(B), substituted "or list I chemical," for "in schedule I, II, III, IV, or V."

Subsec. (b)(1). Pub. L. 103-200, §3(e)(2)(A), inserted "or list I chemical" after "controlled substance" in introductory provisions and subpar. (B).

Subsec. (b)(2). Pub. L. 103-200, §3(e)(2)(B), inserted "or list I chemicals" after "controlled substances".

1984—Subsec. (a)(2). Pub. L. 98-473 inserted reference to schedule V.

## EFFECTIVE DATE OF 1993 AMENDMENT

Amendment by Pub. L. 103-200 effective on date that is 120 days after Dec. 17, 1993, see section 11 of Pub. L. 103-200, set out as a note under section 802 of this title.

## PROVISIONAL REGISTRATION

Section 1104 of Pub. L. 91-513, as amended by Pub. L. 99-514, §2, Oct. 22, 1986, 100 Stat. 2095, provided that:

"(a)(1) Any person—

"(A) who is engaged in importing or exporting any controlled substance on the day before the effective date of section 1007 [May 1, 1971],

"(B) who notifies the Attorney General that he is so engaged, and

"(C) who is registered on such day under section 510 of the Federal Food, Drug, and Cosmetic Act [section 360 of this title] or under section 4722 of the Internal Revenue Code of 1986 [formerly I.R.C. 1954, section 4722 of title 26],

shall, with respect to each establishment for which such registration is in effect under any such section, be deemed to have a provisional registration under section 1008 [section 958 of this title] for the import or export (as the case may be) of controlled substances.

"(2) During the period his provisional registration is in effect under this section, the registration number assigned such person under such section 510 or under such section 4722 (as the case may be) shall be his registration number for purposes of part A of this title [this subchapter].

"(b) The provisions of section 304 [section 824 of this title], relating to suspension and revocation of registration, shall apply to a provisional registration under this section.

"(c) Unless sooner suspended or revoked under subsection (b), a provisional registration of a person under subsection (a)(1) of this section shall be in effect until—

"(1) the date on which such person has registered with the Attorney General under section 1008 [section 958 of this title] or has had his registration denied under such section, or

"(2) such date as may be prescribed by the Attorney General for registration of importers or exporters, as the case may be, whichever occurs first."

**§ 958. Registration requirements****(a) Applicants to import or export controlled substances in schedule I or II**

The Attorney General shall register an applicant to import or export a controlled substance in schedule I or II if he determines that such registration is consistent with the public interest and with United States obligations under

international treaties, conventions, or protocols in effect on May 1, 1971. In determining the public interest, the factors enumerated in paragraph (1) through (6) of section 823(a) of this title shall be considered.

**(b) Activity limited to specified substances**

Registration granted under this section shall not entitle a registrant to import or export controlled substances other than specified in the registration.

**(c) Applicants to import controlled substances in schedule III, IV, or V or to export controlled substances in schedule III or IV; applicants to import or export list I chemicals**

(1) The Attorney General shall register an applicant to import a controlled substance in schedule III, IV, or V or to export a controlled substance in schedule III or IV, unless he determines that the issuance of such registration is inconsistent with the public interest. In determining the public interest, the factors enumerated in paragraphs (1) through (6) of section 823(d) of this title shall be considered.

(2)(A) The Attorney General shall register an applicant to import or export a list I chemical unless the Attorney General determines that registration of the applicant is inconsistent with the public interest. Registration under this subsection shall not be required for the import or export of a drug product that is exempted under section 802(39)(A)(iv) of this title.

(B) In determining the public interest for the purposes of subparagraph (A), the Attorney General shall consider the factors specified in section 823(h) of this title.

**(d) Denial of application**

(1) The Attorney General may deny an application for registration under subsection (a) of this section if he is unable to determine that such registration is consistent with the public interest (as defined in subsection (a) of this section) and with the United States obligations under international treaties, conventions, or protocols in effect on May 1, 1971.

(2) The Attorney General may deny an application for registration under subsection (c) of this section, or revoke or suspend a registration under subsection (a) or (c) of this section, if he determines that such registration is inconsistent with the public interest (as defined in subsection (a) or (c) of this section) or with the United States obligations under international treaties, conventions, or protocols in effect on May 1, 1971.

(3) The Attorney General may limit the revocation or suspension of a registration to the particular controlled substance, or substances, or list I chemical or chemicals, with respect to which grounds for revocation or suspension exist.

(4) Before taking action pursuant to this subsection, the Attorney General shall serve upon the applicant or registrant an order to show cause as to why the registration should not be denied, revoked, or suspended. The order to show cause shall contain a statement of the basis thereof and shall call upon the applicant or registrant to appear before the Attorney General, or his designee, at a time and place stated

in the order, but in no event less than thirty days after the date of receipt of the order. Proceedings to deny, revoke, or suspend shall be conducted pursuant to this subsection in accordance with subchapter II of chapter 5 of title 5. Such proceedings shall be independent of, and not in lieu of, criminal prosecutions or other proceedings under this subchapter or any other law of the United States.

(5) The Attorney General may, in his discretion, suspend any registration simultaneously with the institution of proceedings under this subsection, in cases where he finds that there is an imminent danger to the public health and safety. Such suspension shall continue in effect until the conclusion of such proceedings, including judicial review thereof, unless sooner withdrawn by the Attorney General or dissolved by a court of competent jurisdiction.

(6) In the event that the Attorney General suspends or revokes a registration granted under this section, all controlled substances or list I chemicals owned or possessed by the registrant pursuant to such registration at the time of suspension or the effective date of the revocation order, as the case may be, may, in the discretion of the Attorney General, be seized or placed under seal. No disposition may be made of any controlled substances or list I chemicals under seal until the time for taking an appeal has elapsed or until all appeals have been concluded, except that a court, upon application therefor, may at any time order the sale of perishable controlled substances or list I chemicals. Any such order shall require the deposit of the proceeds of the sale with the court. Upon a revocation order becoming final, all such controlled substances or list I chemicals (or proceeds of the sale thereof which have been deposited with the court) shall be forfeited to the United States; and the Attorney General shall dispose of such controlled substances or list I chemicals in accordance with section 881(e) of this title.

**(e) Registration period**

No registration shall be issued under this subchapter for a period in excess of one year. Unless the regulations of the Attorney General otherwise provide, sections 822(f), 825, 827, and 830 of this title shall apply to persons registered under this section to the same extent such sections apply to persons registered under section 823 of this title.

**(f) Rules and regulations**

The Attorney General is authorized to promulgate rules and regulations and to charge reasonable fees relating to the registration and control of importers and exporters of controlled substances or listed chemicals.

**(g) Scope of authorized activity**

Persons registered by the Attorney General under this section to import or export controlled substances or list I chemicals may import or export (and for the purpose of so importing or exporting, may possess) such substances to the extent authorized by their registration and in conformity with the other provisions of this subchapter and subchapter I of this chapter.

**(h) Separate registrations for each principal place of business**

A separate registration shall be required at each principal place of business where the applicant imports or exports controlled substances or list I chemicals.

**(i) Emergency situations**

Except in emergency situations as described in section 952(a)(2)(A) of this title, prior to issuing a registration under this section to a bulk manufacturer of a controlled substance in schedule I or II, and prior to issuing a regulation under section 952(a) of this title authorizing the importation of such a substance, the Attorney General shall give manufacturers holding registrations for the bulk manufacture of the substance an opportunity for a hearing.

(Pub. L. 91-513, title III, §1008, Oct. 27, 1970, 84 Stat. 1289; Pub. L. 98-473, title II, §§524, 525, Oct. 12, 1984, 98 Stat. 2076; Pub. L. 99-570, title I, §1866(d), Oct. 27, 1986, 100 Stat. 3207-55; Pub. L. 103-200, §3(f), Dec. 17, 1993, 107 Stat. 2337; Pub. L. 108-447, div. B, title VI, §633(c), Dec. 8, 2004, 118 Stat. 2922.)

## REFERENCES IN TEXT

Schedules I, II, III, IV, and V, referred to in subssecs. (a), (c), and (i), are set out in section 812(c) of this title.

This subchapter, referred to in subssecs. (d)(4) and (g), was in the original "this title", meaning title III of Pub. L. 91-513, Oct. 27, 1970, 84 Stat. 1285, as amended. Part A of title III comprises this subchapter. For classification of Part B, consisting of sections 1101 to 1105 of title III, see Tables.

## CODIFICATION

In subssecs. (a) and (d), "May 1, 1971" substituted for "the effective date of this section" and "the effective date of this part", respectively.

## AMENDMENTS

2004—Subsec. (f). Pub. L. 108-447, which directed amendment of subsec. (f) of section 1088 of the Controlled Substances Import and Export Act by inserting "and control" after "the registration" and substituting "listed chemicals" for "list I chemicals under this section", was executed to subsec. (f) of this section, which is section 1008 of the Controlled Substances Import and Export Act, to reflect the probable intent of Congress.

1993—Subsec. (c). Pub. L. 103-200, §3(f)(1), designated existing provisions as par. (1) and added par. (2).

Subsec. (d)(3). Pub. L. 103-200, §3(f)(2)(A), inserted "or list I chemical or chemicals," after "substances,".

Subsec. (d)(6). Pub. L. 103-200, §3(f)(2)(B), inserted "or list I chemicals" after "controlled substances" whenever appearing.

Subsec. (e). Pub. L. 103-200, §3(f)(3), inserted reference to section 830 of this title.

Subsecs. (f) to (h). Pub. L. 103-200, §3(f)(4), inserted "or list I chemicals" after "controlled substances".

1986—Subsec. (e). Pub. L. 99-570 substituted "sections" for first reference to "section".

1984—Subsec. (b). Pub. L. 98-473, §524, substituted "Registration granted under this section shall not entitle a registrant to import or export controlled substances other than specified in the registration" for "Registration granted under subsection (a) of this section shall not entitle a registrant to import or export controlled substances in schedule I or II other than those specified in the registration".

Subsecs. (d) to (i). Pub. L. 98-473, §525, added subsec. (d), redesignated former subsec. (d) as (e) and struck out reference to section 824 of this title, and redesignated former subssecs. (e) to (h) as (f) to (i), respectively.

## EFFECTIVE DATE OF 1993 AMENDMENT

Amendment by Pub. L. 103-200 effective on date that is 120 days after Dec. 17, 1993, see section 11 of Pub. L. 103-200, set out as a note under section 802 of this title.

**§ 959. Possession, manufacture, or distribution of controlled substance****(a) Manufacture or distribution for purpose of unlawful importation**

It shall be unlawful for any person to manufacture or distribute a controlled substance in schedule I or II or flunitrazepam or listed chemical—

(1) intending that such substance or chemical will be unlawfully imported into the United States or into waters within a distance of 12 miles of the coast of the United States; or

(2) knowing that such substance or chemical will be unlawfully imported into the United States or into waters within a distance of 12 miles of the coast of the United States.

**(b) Possession, manufacture, or distribution by person on board aircraft**

It shall be unlawful for any United States citizen on board any aircraft, or any person on board an aircraft owned by a United States citizen or registered in the United States, to—

(1) manufacture or distribute a controlled substance or listed chemical; or

(2) possess a controlled substance or listed chemical with intent to distribute.

**(c) Acts committed outside territorial jurisdiction of United States; venue**

This section is intended to reach acts of manufacture or distribution committed outside the territorial jurisdiction of the United States. Any person who violates this section shall be tried in the United States district court at the point of entry where such person enters the United States, or in the United States District Court for the District of Columbia.

(Pub. L. 91-513, title III, §1009, Oct. 27, 1970, 84 Stat. 1289; Pub. L. 99-570, title III, §3161(a), Oct. 27, 1986, 100 Stat. 3207-94; Pub. L. 104-237, title I, §102(a), (b), Oct. 3, 1996, 110 Stat. 3100; Pub. L. 104-305, §2(b)(2)(A), Oct. 13, 1996, 110 Stat. 3807.)

## REFERENCES IN TEXT

Schedules I and II, referred to in subsec. (a), are set out in section 812(c) of this title.

## AMENDMENTS

1996—Subsec. (a). Pub. L. 104-305 inserted "or flunitrazepam" after "schedule I or II" in introductory provisions.

Pub. L. 104-237, §102(a), inserted "or listed chemical" after "schedule I or II" in introductory provisions and "or chemical" after "substance" in pars. (1) and (2).

Subsec. (b). Pub. L. 104-237, §102(b), inserted "or listed chemical" after "controlled substance" in pars. (1) and (2).

1986—Pub. L. 99-570 designated first sentence as subsec. (a) and inserted "or into waters within a distance of 12 miles of the coast of the United States" in pars. (1) and (2), added subsec. (b), and designated last two sentences as subsec. (c).

**§ 960. Prohibited acts A****(a) Unlawful acts**

Any person who—