

Chap.		Sec.	Chap.		Sec.
63.	Farmer-to-Consumer Direct Marketing	3001	103.	Agricultural Research, Extension, and Education Reform	7601
64.	Agricultural Research, Extension, and Teaching	3101	104.	Plant Protection	7701
65.	Wheat and Wheat Foods Research and Nutrition Education	3401	105.	Hass Avocado Promotion, Research, and Information	7801
66.	Agricultural Foreign Investment Disclosure	3501	106.	Commodity Programs	7901
67.	Implementation of International Sugar Agreement, 1977	3601	107.	Renewable Energy Research and Development	8101
68.	Agricultural Subterminal Facilities	3701	108.	Tree Assistance Program	8201
69.	Swine Health Protection	3801	109.	Animal Health Protection	8301
70.	Animal Cancer Research	3901	110.	Enhancing Controls on Dangerous Biological Agents and Toxins	8401
71.	Agricultural Trade Suspension Adjustment	4001	111.	Brown Tree Snake Control and Eradication	8501
72.	National Agricultural Cost of Production Standards Review Board [Omitted]	4101	112.	Biomass Research and Development [Repealed]	8601
73.	Farmland Protection Policy	4201	113.	Agricultural Commodity Support Programs	8701
74.	Floral Research and Consumer Information	4301	114.	Agricultural Security	8901
75.	International Carriage of Perishable Foodstuffs	4401	CHAPTER 1—COMMODITY EXCHANGES		
76.	Dairy Research and Promotion	4501	Sec.		
77.	Honey Research, Promotion, and Consumer Information	4601	1.	Short title.	
78.	Agricultural Productivity Research [Repealed]	4701	1a.	Definitions.	
79.	Pork Promotion, Research, and Consumer Information	4801	1b.	Requirements of Secretary of the Treasury regarding exemption of foreign exchange swaps and foreign exchange forwards from definition of the term "swap".	
80.	Watermelon Research and Promotion	4901	2.	Jurisdiction of Commission; liability of principal for act of agent; Commodity Futures Trading Commission; transaction in interstate commerce.	
81.	National Commission on Agriculture and Rural Development Policy [Omitted]	5001	2a to 4a.	Transferred.	
82.	State Agricultural Loan Mediation Programs	5101	5.	Findings and purpose.	
83.	Agricultural Competitiveness and Trade	5201	6.	Regulation of futures trading and foreign transactions.	
84.	National Nutrition Monitoring and Related Research	5301	6a.	Excessive speculation.	
85.	Administration of Environmental Programs	5401	6b.	Contracts designed to defraud or mislead.	
86.	Water Quality Research, Education, and Coordination	5501	6b-1.	Enforcement authority.	
87.	Export Promotion	5601	6c.	Prohibited transactions.	
88.	Research	5801	6d.	Dealing by unregistered futures commission merchants or introducing brokers prohibited; duties in handling customer receipts; conflict-of-interest systems and procedures; Chief Compliance Officer; rules to avoid duplicative regulations; swap requirements; portfolio margining accounts.	
89.	Pecan Promotion and Research	6001	6e.	Dealings by unregistered floor trader or broker prohibited.	
90.	Mushroom Promotion, Research, and Consumer Information	6101	6f.	Registration and financial requirements; risk assessment.	
91.	Lime Promotion, Research, and Consumer Information	6201	6g.	Reporting and recordkeeping.	
92.	Soybean Promotion, Research, and Consumer Information	6301	6h.	False self-representation as registered entity member prohibited.	
93.	Processor-Funded Milk Promotion Program	6401	6i.	Reports of deals equal to or in excess of trading limits; books and records; cash and controlled transactions.	
94.	Organic Certification	6501	6j.	Restrictions on dual trading in security futures products on designated contract markets and registered derivatives transaction execution facilities.	
95.	Rural Revitalization Through Forestry	6601	6k.	Registration of associates of futures commission merchants, commodity pool operators, and commodity trading advisors; required disclosure of disqualifications; exemptions for associated persons.	
96.	Global Climate Change	6701	6l.	Commodity trading advisors and commodity pool operators; Congressional finding.	
97.	Fresh Cut Flowers and Fresh Cut Greens Promotion and Information	6801	6m.	Use of mails or other means or instrumentalities of interstate commerce by commodity trading advisors and commodity pool operators; relation to other law.	
98.	Department of Agriculture Reorganization	6901	6n.	Registration of commodity trading advisors and commodity pool operators; application; expiration and renewal; record keeping and reports; disclosure; statements of account.	
99.	Sheep Promotion, Research, and Information	7101			
100.	Agricultural Market Transition	7201			
101.	Agricultural Promotion	7401			
102.	Emergency Food Assistance	7501			

Sec.		Sec.	
6o.	Fraud and misrepresentation by commodity trading advisors, commodity pool operators, and associated persons.	17b.	Separability of 1968 amendment.
6o-1.	Transferred.	18.	Complaints against registered persons.
6p.	Standards and examinations.	19.	Consideration of costs and benefits and anti-trust laws.
6q.	Special procedures to encourage and facilitate bona fide hedging by agricultural producers.	20.	Market reports.
6r.	Reporting and recordkeeping for uncleared swaps.	21.	Registered futures associations.
6s.	Registration and regulation of swap dealers and major swap participants.	22.	Research and information programs; reports to Congress.
6t.	Large swap trader reporting.	23.	Standardized contracts for certain commodities.
7.	Designation of boards of trade as contract markets.	24.	Customer property with respect to commodity broker debtors; definitions.
7a.	Repealed.	24a.	Swap data repositories.
7a-1.	Derivatives clearing organizations.	25.	Private rights of action.
7a-2.	Common provisions applicable to registered entities.	26.	Commodity whistleblower incentives and protection.
7a-3.	Repealed.	27.	Definitions.
7b.	Suspension or revocation of designation as registered entity.	27a.	Exclusion of identified banking product.
7b-1.	Designation of securities exchanges and associations as contract markets.	27b.	Repealed.
7b-2.	Privacy.	27c.	Exclusion of certain other identified banking products.
7b-3.	Swap execution facilities.	27d.	Administration of the predominance test.
8.	Application for designation as contract market or derivatives transaction execution facility; time; suspension or revocation of designation; hearing; review by court of appeals.	27e.	Repealed.
9.	Prohibition regarding manipulation and false information.	27f.	Contract enforcement.
9a.	Assessment of money penalties.		
9b.	Rules prohibiting deceptive and other abusive telemarketing acts or practices.		
9c.	Notice of investigations and enforcement actions.		
10.	Repealed.		
10a.	Cooperative associations and corporations, exclusion from board of trade; rules of board inapplicable to payment of compensation by association.		
11.	Vacation on request of designation or registration as "registered entity"; redesignation or reregistration.		
12.	Public disclosure.		
12-1 to 12-3.	Omitted.		
12a.	Registration of commodity dealers and associated persons; regulation of registered entities.		
12b.	Trading ban violations; prohibition.		
12c.	Disciplinary actions.		
12d.	Commission action for noncompliance with export sales reporting requirements.		
12e.	Repealed.		
13.	Violations generally; punishment; costs of prosecution.		
13-1.	Violations, prohibition against dealings in motion picture box office receipts or onion futures; punishment.		
13a.	Nonenforcement of rules of government or other violations; cease and desist orders; fines and penalties; imprisonment; misdemeanor; separate offenses.		
13a-1.	Enjoining or restraining violations.		
13a-2.	Jurisdiction of States.		
13b.	Manipulations or other violations; cease and desist orders against persons other than registered entities; punishment.		
13c.	Responsibility as principal; minor violations.		
14.	Repealed.		
15, 15a.	Omitted or Repealed.		
15b.	Cotton futures contracts.		
16.	Commission operations.		
16a.	Service fees and National Futures Association study.		
17.	Separability.		
17a.	Separability of 1936 amendment.		

§ 1. Short title

This chapter may be cited as the "Commodity Exchange Act."

(Sept. 21, 1922, ch. 369, §1, 42 Stat. 998; June 15, 1936, ch. 545, § 1, 49 Stat. 1491.)

PRIOR PROVISIONS

This chapter superseded act Aug. 24, 1921, ch. 86, 42 Stat. 187, known as "The Future Trading Act," which act was declared unconstitutional, at least in part, in *Hill v. Wallace*, Ill. 1922, 42 S.Ct. 453, 259 U.S. 44, 66 L.Ed. 822. Section 3 of that act was found unconstitutional as imposing a penalty in *Trusler v. Crooks*, Mo. 1926, 46 S.Ct. 165, 269 U.S. 475, 70 L.Ed. 365.

AMENDMENTS

1936—Act June 15, 1936, substituted "Commodity Exchange Act" for "The Grain Futures Act".

EFFECTIVE DATE OF 1936 AMENDMENT

Act June 15, 1936, ch. 545, §13, 49 Stat. 1501, provided that: "All provisions of this Act [see Tables for classification] authorizing the registration of futures commission merchants and floor brokers, the fixing of fees and charges therefor, the promulgation of rules, regulations and orders, and the holding of hearings precedent to the promulgation of rules, regulations, and orders shall be effective immediately. All other provisions of this Act shall take effect ninety days after the enactment of this Act [June 15, 1936]."

SHORT TITLE OF 2008 AMENDMENT

Pub. L. 110-234, title XIII, §13001, May 22, 2008, 122 Stat. 1427, and Pub. L. 110-246, §4(a), title XIII, §13001, June 18, 2008, 122 Stat. 1664, 2189, provided that: "This title [amending sections 1a, 2, 6a, 6b, 6f, 6g, 6i, 6k, 6o-1, 6q, 7a, 7a-2, 7b, 8, 9, 12, 13, 13a, 13a-1, 16, 18, 21, and 25 of this title and enacting provisions set out as notes under section 2 of this title] may be cited as the 'CFTC Reauthorization Act of 2008'."

[Pub. L. 110-234 and Pub. L. 110-246 enacted identical provisions. Pub. L. 110-234 was repealed by section 4(a) of Pub. L. 110-246, set out as a note under section 8701 of this title.]

SHORT TITLE OF 2000 AMENDMENT

Pub. L. 106-554, §1(a)(5) [§1(a)], Dec. 21, 2000, 114 Stat. 2763, 2763A-365, provided that: "This Act [H.R. 5660, as enacted by section 1(a)(5) of Pub. L. 106-554, enacting sections 5, 6o-1, 7 to 7a-3, 7b-1, 7b-2, 9c, and 27 to 27f of this title, sections 781 to 784 of Title 11, Bankruptcy,