EFFECTIVE DATE

Chapter effective July 1, 1971, pursuant to Resolution No. 71-9 of the Board of Governors. See section 15(a) of Pub. L. 91-375, set out as a note preceding section 101 of this title.

§ 3202. Penalty mail

- (a) Subject to the limitations imposed by sections 3204 and 3207 of this title, there may be transmitted as penalty mail— $\,$
 - (1) official mail of-
 - (A) officers of the Government of the United States other than Members of Congress:
 - (B) the Smithsonian Institution;
 - (C) the Pan American Union;
 - (D) the Pan American Sanitary Bureau;
 - (E) the United States Employment Service and the system of employment offices operated by it in conformity with the provisions of sections 49–49c, 49d, 49e–49k of title 29, and all State employment systems which receive funds appropriated under authority of those sections.
 - (2) mail relating to naturalization to be sent to the Immigration and Naturalization Service by clerks of courts addressed to the Department of Justice or the Immigration and Naturalization Service, or any official thereof; and
 - (3) mail relating to a collection of statistics, survey, or census authorized by title 13 and addressed to the Department of Commerce or a bureau or agency thereof.
- (b) A department or officer authorized to use penalty covers may enclose them with return address to any person from or through whom official information is desired. The penalty cover may be used only to transmit the official information and endorsements relating thereto.
- (c) This section does not apply to officers who receive a fixed allowance as compensation for their services including expenses of postage.

(Pub. L. 91–375, Aug. 12, 1970, 84 Stat. 751; Pub. L. 94–553, \$105(e), Oct. 19, 1976, 90 Stat. 2599; Pub. L. 103–123, title VII, \$708(b), Oct. 28, 1993, 107 Stat. 1272; Pub. L. 110–234, title VII, \$7404(b)(2)(B), May 22, 2008, 122 Stat. 1247; Pub. L. 110–246, \$4(a), title VII, \$7404(b)(2)(B), June 18, 2008, 122 Stat. 1664, 2008.)

CODIFICATION

Pub. L. 110–234 and Pub. L. 110–246 made identical amendments to this section. The amendments by Pub. L. 110–234 were repealed by section 4(a) of Pub. L. 110–246.

AMENDMENTS

2008—Subsec. (a)(1)(D) to (F). Pub. L. 110–246, $\S7404(b)(2)(B)(i)$, in subpar. (D) inserted "and" at end, in subpar. (E) substituted period for "; and" at end, and struck out subpar. (F) which read as follows: "any college officer or other person connected with the extension department of the college as the Secretary of Agriculture may designate to the Postal Service to the extent that the official mail consists of correspondence, bulletins, and reports for the furtherance of the purpose of sections 341–343 and 344–348 of title 7;".

Subsec. (a)(2) to (4). Pub. L. 110–246, \$7404(b)(2)(B)(ii)-(iv), in par. (2) inserted "and" at end,

in par. (3) substituted period for "; and" at end, and struck out par. (4) which read as follows: "mail of State agriculture experiment stations pursuant to sections 325 and 361f of title 7."

1993—Subsec. (a)(3), (4). Pub. L. 103-123 inserted "and" at end of par. (3) and substituted period for "; and" at end of par. (4).

1976—Subsec. (a)(5). Pub. L. 94-553 struck out par. (5) which related to articles for copyright deposited with postmasters and addressed to the Register of Copyrights pursuant to section 15 of title 17.

EFFECTIVE DATE OF 2008 AMENDMENT

Amendment of this section and repeal of Pub. L. 110–234 by Pub. L. 110–246 effective May 22, 2008, the date of enactment of Pub. L. 110–234, see section 4 of Pub. L. 110–246, set out as an Effective Date note under section 8701 of Title 7, Agriculture.

EFFECTIVE DATE OF 1976 AMENDMENT

Amendment by Pub. L. 94-553 effective Jan. 1, 1978, see section 102 of Pub. L. 94-553, set out as an Effective Date note preceding section 101 of Title 17, Copyrights.

ABOLITION OF IMMIGRATION AND NATURALIZATION SERVICE AND TRANSFER OF FUNCTIONS

For abolition of Immigration and Naturalization Service, transfer of functions, and treatment of related references, see note set out under section 1551 of Title 8, Aliens and Nationality.

PAYMENT OF POSTAGE FOR STATE UNEMPLOYMENT COMPENSATION SYSTEMS AND EMPLOYMENT SERVICES

Pub. L. 92–80, title I, Aug. 10, 1971, 85 Stat. 287, which required Department of Labor and Post Office Department to use such amounts as may be agreed upon for the payment of postage for the transmission of official mail matter in connection with the administration of unemployment compensation systems and employment services by States receiving grants, was from the Department of Labor Appropriation Act, 1972, and was not repeated in subsequent appropriation acts. See section 3202(a)(1)(E) of this title.

Similar provisions were contained in the following prior appropriation acts:

Jan. 11, 1971, Pub. L. 91-667, title I, 84 Stat. 2002. Mar. 5, 1970, Pub. L. 91-204, title I, 84 Stat. 24. Oct. 11, 1968, Pub. L. 90-557, title I, 82 Stat. 971. Nov. 8, 1967, Pub. L. 90-132, title I, 81 Stat. 387. Nov. 7, 1966, Pub. L. 89-787, title I, 80 Stat. 1379. Aug. 31, 1965, Pub. L. 89-156, title I, 79 Stat. 590. Sept. 19, 1964, Pub. L. 88-605, title I, 78 Stat. 960. Oct. 11, 1963, Pub. L. 88-136, title I, 77 Stat. 226. Aug. 14, 1962, Pub. L. 87-582, title I, 76 Stat. 363. Sept. 22, 1961, Pub. L. 87-290, title I, 75 Stat. 591. Sept. 2, 1960, Pub. L. 86–703, title I, 74 Stat. 757. Aug. 14, 1959, Pub. L. 86–158, title I, 73 Stat. 341. Aug. 1, 1958, Pub. L. 85–580, title I, 72 Stat. 459. June 29, 1957, Pub. L. 85–67, title I, 71 Stat. 212. June 29, 1956, ch. 477, title I, 70 Stat. 424. Aug. 1, 1955, ch. 437, title I, 69 Stat. 398. July 2, 1954, ch. 457, title I, 68 Stat. 435 July 31, 1953, ch. 296, title I, 67 Stat. 246. July 5, 1952, ch. 575, title I, 66 Stat. 360. Aug. 31, 1951, ch. 373, title I, 65 Stat. 210. Sept. 6, 1950, ch. 896, ch. V, title I, 64 Stat. 644. June 29, 1949, ch. 275, title II, 63 Stat. 293. June 16, 1948, ch. 472, title I, 62 Stat. 445.

§ 3203. Endorsements on penalty covers

(a) Except as otherwise provided in this section, penalty covers shall bear, over the words "Official Business" an endorsement showing the name of the department, bureau, or office from which, or officer from whom, it is transmitted. The penalty for the unlawful use of all penalty covers shall be printed thereon.

(b) The Postal Service shall prescribe the endorsement to be placed on covers mailed under clauses (1)(E), (2), and (3) of section 3202(a) of this title.

(Pub. L. 91-375, Aug. 12, 1970, 84 Stat. 752.)

§ 3204. Restrictions on use of penalty mail

- (a) Except as otherwise provided in this section or section 3220(a) of this title, an officer, executive department, or independent establishment of the Government of the United States may not mail, as penalty mail, any article or document unless—
 - (1) a request therefor has been previously received by the department or establishment; or (2) its mailings is required by law.
- (b) Subsection (a) of this section does not prohibit the mailing, as penalty mail, by an officer, executive department, or independent agency of—
 - (1) enclosures reasonably related to the subject matter of official correspondence;
 - (2) informational releases relating to the census of the United States and authorized by title 13:
 - (3) matter concerning the sale of Government securities:
 - (4) forms, blanks, and copies of statutes, rules, regulations, instructions, administrative orders, and interpretations necessary in the administration of the department or establishment:
 - (5) agricultural bulletins:
 - (6) lists of public documents offered for sale by the Superintendent of Documents;
 - (7) announcements of the publication of maps, atlases, and statistical and other reports offered for sale by the Federal Power Commission as authorized by section 825k of title 16; or
 - (8) articles or documents to educational institutions or public libraries, or to Federal, State, or other public authorities.

(Pub. L. 91–375, Aug. 12, 1970, 84 Stat. 752; Pub. L. 99–87, 10(c)(1), Aug. 9, 1985, 99 Stat. 291.)

AMENDMENTS

1985—Subsec. (a). Pub. L. 99–87 substituted "section or section 3220(a) of this title," for "section," in introductory provisions.

TRANSFER OF FUNCTIONS

Federal Power Commission terminated and its functions, personnel, property, funds, etc., transferred to Secretary of Energy (except for certain functions transferred to Federal Energy Regulatory Commission) by sections 7151(b), 7171(a), 7172(a), 7291, and 7293 of Title 42, The Public Health and Welfare.

§ 3205. Accounting for penalty covers

Executive departments and agencies, independent establishments of the Government of the United States, and organizations and persons authorized by law to use penalty mail, shall account for all penalty covers through the Postal Service.

(Pub. L. 91-375, Aug. 12, 1970, 84 Stat. 753.)

§ 3206. Reimbursement for penalty mail service

(a) Except as provided in subsection (b) of this section, executive departments and agencies,

independent establishments of the Government of the United States, and Government corporations concerned, shall transfer to the Postal Service as postal revenue out of any appropriations or funds available to them, as a necessary expense of the appropriations or funds and of the activities concerned, the equivalent amount of postage due, as determined by the Postal Service, for matter sent in the mails by or to them as penalty mail under authority of section 3202 of this title.

- (b) The Department of Agriculture shall transfer to the Postal Service as postal revenues out of any appropriations made to it for that purpose the equivalent amount of postage, as determined by the Postal Service, for penalty mailings under clauses (1)(F) and (4) of section 3202(a) of this title.
- (c) The Department of State shall transfer to the Postal Service as postal revenues out of any appropriations made to it for that purpose the equivalent amount of postage, as determined by the Postal Service, for penalty mailings under clause (1)(C) and (D) of section 3202(a) of this title.

(Pub. L. 91–375, Aug. 12, 1970, 84 Stat. 753; Pub. L. 93–191, §9, Dec. 18, 1973, 87 Stat. 745; Pub. L. 94–553, §105(e), Oct. 19, 1976, 90 Stat. 2599.)

AMENDMENTS

1976—Subsec. (a). Pub. L. 94-553 substituted "subsection (b)" for "subsections (b) and (c)".

Subsecs. (c), (d). Pub. L. 94-553 redesignated subsec. (d) as (c). Former subsec. (c), directing the Library of Congress to transfer to the Postal Service as postal revenues out of any appropriations made to the Library for that purpose the equivalent amount of postage, as determined by the Postal Service, for penalty mailings under clause (5) of section 3202(a) of this title, was struck out.

1973—Subsec. (d). Pub. L. 93–191 added subsec. (d).

EFFECTIVE DATE OF 1976 AMENDMENT

Amendment by Pub. L. 94–553 effective Jan. 1, 1978, see section 102 of Pub. L. 94–553, set out as an Effective Date note preceding section 101 of Title 17, Copyrights.

EFFECTIVE DATE OF 1973 AMENDMENT

Amendment by Pub. L. 93–191 effective Dec. 18, 1973, see section 14 of Pub. L. 93–191, set out as a note under section 3210 of this title.

§ 3207. Limit of weight of penalty mail; postage on overweight matter

- (a) Penalty mail is restricted to articles not in excess of the weight and size prescribed for that class of mail receiving high priority in handling and delivery, except—
 - (1) stamped paper and supplies sold or used by the Postal Service; and
 - (2) books and documents published or circulated by order of Congress when mailed by the Superintendent of Documents.
 - (b) A penalty mail article which is-
 - (1) over 4 pounds in weight;
 - (2) not in excess of the weight and size prescribed for mail matter; and
 - (3) otherwise mailable;

is mailable at rates for that class of mail entitled to the lowest priority in handling and delivery, even though it may include written matter and may be sealed.