Sec.

Prior sections 5008 to 5011 were renumbered sections 8108 to 8111 of this title, respectively.

Another prior section 5011 was renumbered section 5021 of this title.

Prior sections 5011A and 5012 were renumbered sections 8111A and 8112 of this title, respectively.

Another prior section 5012 was renumbered section 5022 of this title.

A prior section 5013 was renumbered section 8113 of this title.

Another prior section 5013 was renumbered section 5023 of this title.

A prior section 5014 was renumbered section 8114 of this title.

Another prior section 5014 was renumbered section 5024 of this title.

Prior sections 5015, 5016, 5021 to 5025, 5031 to 5037, and 5051 to 5056 were renumbered sections 8115, 8116, 8121 to 8125, 8131 to 8137, and 8151 to 8156 of this title, respectively.

A prior section 5057, added Pub. L. 89–785, title II, §203, Nov. 7, 1966, 80 Stat. 1376, directed Administrator to submit to Congress not more than sixty days after end of each fiscal year separate reports on activities carried out under sections 5053 and 5054 of this title, prior to repeal by Pub. L. 99–576, title II, §231(c)(2)(A), Oct. 28, 1986, 100 Stat. 3264.

Prior sections 5070, 5071 to 5074, 5081 to 5083, 5091 to 5093, and 5096 were renumbered sections 8201, 8211 to 8214, 8221 to 8223, 8231 to 8233, and 8241 of this title, respectively.

# PART IV—GENERAL ADMINISTRATIVE PROVISIONS

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51.	Claims, Effective Dates, and Payments	5100
53.	Special Provisions Relating to Benefits	5301
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## AMENDMENTS

2006—Pub. L. 109–233, title IV, \$402(d)(3), June 15, 2006, 120 Stat. 411, added item for chapter 63.

2002—Pub. L. 107–330, title III, §308(g)(1)(A), Dec. 6, 2002, 116 Stat. 2828, substituted "5100" for "5101" in item for chapter 51.

1991—Pub. L. 102–40, title IV, §402(c)(2), May 7, 1991, 105 Stat. 239, substituted "5101" for "3001" in item for chapter 51, "5301" for "3101" in item for chapter 53, "5501" for "3201" in item for chapter 55, "5701" for "3301" in item for chapter 57, "5901" for "3401" in item for chapter 59, and "6101" for "3501" in item for chapter 61.

1988—Pub. L. 100–687, div. A, title I,  $\S103(c)(1)$ , Nov. 18, 1988, 102 Stat. 4107, substituted "Claims" for "Applications" in item for chapter 51.

## CHAPTER 51—CLAIMS, EFFECTIVE DATES, AND PAYMENTS

## SUBCHAPTER I—CLAIMS

5100.	Definition of "claimant".	
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5102.	Application forms furnished upon request; n	
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5103A. Duty to assist claimants.

Sec.

5104. Decisions and notices of decisions.

5105. Joint applications for social security and dependency and indemnity compensation.

5106. Furnishing of information by other agencies.

5107. Claimant responsibility; benefit of the doubt.

5108. Reopening disallowed claims.

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5109A. Revision of decisions on grounds of clear and unmistakable error.

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## SUBCHAPTER II—EFFECTIVE DATES

5110. Effective dates of awards.

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5112. Effective dates of reductions and discontinuances.

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## SUBCHAPTER III—PAYMENT OF BENEFITS

5120. Payment of benefits; delivery.

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5121A. Substitution in case of death of claimant.

5122. Cancellation of checks mailed to deceased payees.

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5125. Acceptance of reports of private physician examinations.

5126. Benefits not to be denied based on lack of mailing address.

#### AMENDMENTS

2008—Pub. L. 110–389, title II, §212(b), Oct. 10, 2008, 122 Stat. 4151, added item 5121A.

Pub. L. 110-387, title IX, \$901(a)(5), Oct. 10, 2008, 122 Stat. 4142, substituted "death of a beneficiary" for "death of beneficiary" in item 5121.

2003—Pub. L. 108–183, title VII,  $\S707(a)(2)$ , Dec. 16, 2003, 117 Stat. 2673, added item 5109B.

2000—Pub. L. 106-475, §6, Nov. 9, 2000, 114 Stat. 2099, added items 5100, 5102 to 5103A, 5107, and 5126, and struck out former items 5102 "Application forms furnished upon request", 5103 "Incomplete applications", and 5107 "Burden of proof; benefit of the doubt".

Pub. L. 106–398, §1 [[div. A], title XVI, §1611(b)], Oct. 30, 2000, 114 Stat. 1654, 1654A–360, directed amendment of table of sections by striking the item relating to section 5017 and inserting item 5107 "Assistance to claimants; benefit of the doubt; burden of proof". Pub. L. 106–419, title I, §104(c)(2), Nov. 1, 2000, 114 Stat. 1828, provided that, as of the enactment of Pub. L. 106–419, the amendments made by Pub. L. 106–398, §1 [[div. A], title XVI, §1611(b)], were deemed for all purposes not to have taken effect and that Pub. L. 106–398, §1 [[div. A], title XVI, §1611(b)], ceased to be in effect.

1997—Pub. L. 105–111,  $\S1(a)(2)$ , Nov. 21, 1997, 111 Stat. 2271, added item 5109A.

 $1994-Pub.\ L.\ 103-446,\ title\ III,\ \S 301(c),\ Nov.\ 2,\ 1994,\ 108$  Stat. 4658, added items 5124 and 5125.

1991—Pub. L. 102–40, title IV, \$402(c)(1), May 7, 1991, 105 Stat. 239, renumbered items 3001 to 3023 as 5101 to 5123, respectively.

1989—Pub. L. 101–237, title I, 115(a)(2), Dec. 18, 1989, 103 Stat. 2066, added item 3004.

1988—Pub. L. 100-687, div. A, title I, §103(a)(2), (c)(2), (3), Nov. 18, 1988, 102 Stat. 4107, 4108, substituted "CLAIMS" for "APPLICATIONS" in heading for chapter and in item for subchapter I and added items 3007, 3008, and 3009.

 $1962\mathrm{-Pub}.$  L. 97–253, title IV,  $\$\$401(a)(2),\ 403(a)(2),$  Sept. 8, 1982, 96 Stat. 802, added items 3011 and 3023, respectively.

1977—Pub. L. 95–117, title IV, §402(b)(2), Oct. 3, 1977, 91 Stat. 1066, struck out "by check" after "benefit" in item 3020.

 $1976\mathrm{-Pub}.\ L.\ 94\mathrm{-}432,\ title\ IV,\ \S\,403(1),\ Sept.\ 30,\ 1976,\ 90\ Stat.\ 1372,\ added\ item\ 3006.$ 

 $1962—Pub.\ L.\ 87–825,\ \S 5(b),\ Oct.\ 15,\ 1962,\ 76$  Stat. 950, struck out items 3004 and 3011.

## SUBCHAPTER I—CLAIMS

#### AMENDMENTS

1988—Pub. L. 100–687, div. A, title I,  $\S103(c)(4)$ , Nov. 18, 1988, 102 Stat. 4108, substituted "CLAIMS" for "APPLICATIONS" in heading for subchapter I.

## § 5100. Definition of "claimant"

For purposes of this chapter, the term "claimant" means any individual applying for, or submitting a claim for, any benefit under the laws administered by the Secretary.

(Added Pub. L. 106–475, §2, Nov. 9, 2000, 114 Stat. 2096.)

## §5101. Claims and forms

(a)(1) A specific claim in the form prescribed by the Secretary (or jointly with the Commissioner of Social Security, as prescribed by section 5105 of this title) must be filed in order for benefits to be paid or furnished to any individual under the laws administered by the Secretary.

(2) If an individual has not attained the age of 18 years, is mentally incompetent, or is physically unable to sign a form, a form filed under paragraph (1) for the individual may be signed by a court-appointed representative, a person who is responsible for the care of the individual, including a spouse or other relative, or an attorney in fact or agent authorized to act on behalf of the individual under a durable power of attorney. If the individual is in the care of an institution, the manager or principal officer of the institution may sign the form.

(b)(1) A claim by a surviving spouse or child for compensation or dependency and indemnity compensation shall also be considered to be a claim for death pension and accrued benefits, and a claim by a surviving spouse or child for death pension shall be considered to be a claim for death compensation (or dependency and indemnity compensation) and accrued benefits.

(2) A claim by a parent for compensation or dependency and indemnity compensation shall also be considered to be a claim for accrued benefits.

(c)(1) Any person who applies for, signs a form on behalf of an individual to apply for, or is in receipt of any compensation or pension benefit under laws administered by the Secretary shall, if requested by the Secretary, furnish the Secretary with the social security number of such person, or TIN in the case that the person is not an individual, and the social security number of any claimant, dependent, or beneficiary on whose behalf, or based upon whom, such person applies for or is in receipt of such benefit. A person is not required to furnish the Secretary with a social security number for any person to whom a social security number has not been assigned.

(2) The Secretary shall deny the application of or terminate the payment of compensation or pension to a person who fails to furnish the Secretary with a social security number or TIN required to be furnished pursuant to paragraph (1) of this subsection. The Secretary may thereafter reconsider the application or reinstate payment of compensation or pension, as the case may be, if such person furnishes the Secretary with such social security number or TIN.

(3) The costs of administering this subsection shall be paid for from amounts available to the Department of Veterans Affairs for the payment of compensation and pension.

(d) In this section:

- (1) The term "mentally incompetent" with respect to an individual means that the individual lacks the mental capacity—
- (A) to provide substantially accurate information needed to complete a form; or
- (B) to certify that the statements made on a form are true and complete.
- (2) The term "TIN" has the meaning given the term in section 7701(a)(41) of the Internal Revenue Code of 1986.

(Pub. L. 85–857, Sept. 2, 1958, 72 Stat. 1225,  $\S3001$ ; Pub. L. 97–295,  $\S4(95)(A)$ , Oct. 12, 1982, 96 Stat. 1313; Pub. L. 99–576, title VII,  $\S701(61)$ , Oct. 28, 1986, 100 Stat. 3296; Pub. L. 101–508, title VIII,  $\S8053(a)$ , Nov. 5, 1990, 104 Stat. 1388–352; renumbered  $\S5101$  and amended Pub. L. 102–40, title IV,  $\S402(b)(1)$ , (d)(1), May 7, 1991, 105 Stat. 238, 239; Pub. L. 102–83,  $\S4(a)(1)$ , (b)(1), (2)(E), Aug. 6, 1991, 105 Stat. 403–405; Pub. L. 108–183, title VII,  $\S708(c)(2)$ , Dec. 16, 2003, 117 Stat. 2674; Pub. L. 112–154, title V,  $\S502(a)$ , Aug. 6, 2012, 126 Stat. 1190.)

#### References in Text

Section 7701(a)(41) of the Internal Revenue Code of 1986, referred to in subsec. (d)(2), is classified to section 7701(a)(41) of Title 26, Internal Revenue Code.

## PRIOR PROVISIONS

Prior section 5101 was renumbered section 8301 of this title

## AMENDMENTS

2012—Subsec. (a). Pub. L. 112-154,  $\S502(a)(1)$ , designated existing provisions as par. (1) and added par. (2)

Subsec. (c)(1). Pub. L. 112–154, §502(a)(2)(A), inserted ", signs a form on behalf of an individual to apply for," after "who applies for" and ", or TIN in the case that the person is not an individual," after "of such person" and substituted "claimant, dependent," for "dependent."

Subsec. (c)(2). Pub. L. 112–154, §502(a)(2)(B), inserted "or TIN" after "social security number" in two places. Subsec. (d). Pub. L. 112–154, §502(a)(3), added subsec. (d).

2003—Subsec. (a). Pub. L. 108–183 substituted "Commissioner of Social Security" for "Secretary of Health and Human Services".

1991—Pub. L. 102-40, §402(b)(1), renumbered section 3001 of this title as this section.

Subsec. (a). Pub. L. 102-83, §4(b)(1), (2)(E), substituted "Secretary" for "Administrator" after "prescribed by the".

Pub. L. 102-83,  $\S4(a)(1)$ , substituted "administered by the Secretary" for "administered by the Veterans' Administration".

Pub. L. 102-40,  $\S402(d)(1)$ , substituted "5105" for "3005".

1990—Subsec. (c). Pub. L. 101-508 added subsec. (c).

1986—Subsec. (b)(1). Pub. L. 99-576 substituted "surviving spouse or" for "widow or" in two places.

1982—Subsec. (a). Pub. L. 97-295 substituted "Health and Human Services" for "Health, Education, and Welfare".

## EFFECTIVE DATE OF 2012 AMENDMENT

Pub. L. 112–154, title V, \$502(b), Aug. 6, 2012, 126 Stat. 1191, provided that: "The amendments made by sub-