Sec.

40102. Definitions.

40103. Administrative exemptions.

40104. Reports filed with the Commission.

## § 40101. Purposes

The purposes of this part are to-

(1) establish a nondiscriminatory regulatory process for the common carriage of goods by water in the foreign commerce of the United States with a minimum of government intervention and regulatory costs;

(2) provide an efficient and economic transportation system in the ocean commerce of the United States that is, insofar as possible, in harmony with, and responsive to, international shipping practices;

(3) encourage the development of an economically sound and efficient liner fleet of vessels of the United States capable of meeting national security needs; and

(4) promote the growth and development of United States exports through competitive and efficient ocean transportation and by placing a greater reliance on the marketplace.

(Pub. L. 109–304, §7, Oct. 6, 2006, 120 Stat. 1523.)

HISTORICAL AND REVISION NOTES

Revised Section	Source (U.S. Code)	Source (Statutes at Large)
40101	46 App.:1701.	Pub. L. 98–237, §2, Mar. 20, 1984, 98 Stat. 67; Pub. L. 105–258, title I, §101, Oct. 14, 1998, 112 Stat. 1902.

EFFECTS ON CERTAIN AGREEMENTS AND CONTRACTS

Pub. L. 98-237, §20(d), Mar. 20, 1984, 98 Stat. 90; Pub. L. 105–258, title I, §117(1), Oct. 14, 1998, 112 Stat. 1914, provided that: "All agreements, contracts, modifications, licenses, and exemptions previously issued, approved, or effective under the Shipping Act, 1916 [former 46 U.S.C. App. 801 et seq., see Disposition Table preceding section 101 of this title], or the Shipping Act of 1984 [former 46 U.S.C. App. 1701 et seq., see Disposition Table preceding section 101 of this title], shall continue in force and effect as if issued or effective under this Act, as amended by the Ocean Shipping Reform Act of 1998 [Pub. L. 105-258, Oct. 14, 1998, 112 Stat. 1902], and all new agreements, contracts, and modifications to existing, pending, or new contracts or agreements shall be considered under this Act, as amended by the Ocean Shipping Reform Act of 1998.'

## § 40102. Definitions

In this part:

- (1) AGREEMENT.—The term "agreement"—
- (A) means a written or oral understanding, arrangement, or association, and any modification or cancellation thereof; but
- (B) does not include a maritime labor agreement.
- (2) ANTITRUST LAWS.—The term "antitrust laws" means—
  - (A) the Sherman Act (15 U.S.C. 1 et seq.);
  - (B) sections 73 and 74 of the Wilson Tariff Act (15 U.S.C. 8, 9);
    - (C) the Clayton Act (15 U.S.C. 12 et seq.);
  - (D) the Act of June 19, 1936 (15 U.S.C. 13, 13a, 13b, 21a);
  - (E) the Federal Trade Commission Act (15 U.S.C. 41 et seq.);
  - (F) the Antitrust Civil Process Act (15 U.S.C. 1311 et seq.); and

- (G) Acts supplementary to those Acts.
- (3) ASSESSMENT AGREEMENT.—The term "assessment agreement" means an agreement, whether part of a collective bargaining agreement or negotiated separately, to the extent the agreement provides for the funding of collectively bargained fringe-benefit obligations on other than a uniform worker-hour basis, regardless of the cargo handled or type of vessel or equipment used.
- (4) BULK CARGO.—The term "bulk cargo" means cargo that is loaded and carried in bulk without mark or count.
- (5) CHEMICAL PARCEL-TANKER.—The term "chemical parcel-tanker" means a vessel that has—
- (A) a cargo-carrying capability consisting of individual cargo tanks for bulk chemicals that—
  - (i) are a permanent part of the vessel; and
  - (ii) have segregation capability with piping systems to permit simultaneous carriage of several bulk chemical cargoes with minimum risk of cross-contamination; and
- (B) a valid certificate of fitness under the International Maritime Organization Code for the Construction and Equipment of Ships Carrying Dangerous Chemicals in Bulk.
- (6) COMMON CARRIER.—The term "common carrier"—

(A) means a person that—

- (i) holds itself out to the general public to provide transportation by water of passengers or cargo between the United States and a foreign country for compensation:
- (ii) assumes responsibility for the transportation from the port or point of receipt to the port or point of destination; and
- (iii) uses, for all or part of that transportation, a vessel operating on the high seas or the Great Lakes between a port in the United States and a port in a foreign country; but
- (B) does not include a carrier engaged in ocean transportation by ferry boat, ocean tramp, or chemical parcel-tanker, or by vessel when primarily engaged in the carriage of perishable agricultural commodities—
  - (i) if the carrier and the owner of those commodities are wholly-owned, directly or indirectly, by a person primarily engaged in the marketing and distribution of those commodities; and
  - (ii) only with respect to the carriage of those commodities.
- (7) CONFERENCE.—The term "conference"—
- (A) means an association of ocean common carriers permitted, pursuant to an approved or effective agreement, to engage in concerted activity and to use a common tariff; but
- (B) does not include a joint service, consortium, pooling, sailing, or transshipment agreement.
- (8) CONTROLLED CARRIER.—The term "controlled carrier" means an ocean common car-