an officer, agent, or employee of that carrier, or another person authorized to receive information from that carrier, that knowingly discloses to another person, except the shipper or consignee, or a person who solicits or knowingly receives information about the nature, kind, quantity, destination, consignee, or routing of property tendered or delivered to that carrier for transportation provided under this part without the consent of the shipper or consignee, if that information may be used to the detriment of the shipper or consignee or may disclose improperly, to a competitor the business transactions of the shipper or consignee, is liable to the United States for a civil penalty of not more than \$1,000.

- (b) LIMITATION ON STATUTORY CONSTRUCTION.— This part does not prevent a pipeline carrier providing transportation under this part from giving information—
  - (1) in response to legal process issued under authority of a court of the United States or a State;
  - (2) to an officer, employee, or agent of the United States Government, a State, or a territory or possession of the United States; or
  - (3) to another carrier or its agent to adjust mutual traffic accounts in the ordinary course of business.
- (c) BOARD EMPLOYEE.—An employee of the Board delegated to make an inspection or examination under section 15722 who knowingly discloses information acquired during that inspection or examination, except as directed by the Board, a court, or a judge of that court, shall be fined under title 18 or imprisoned for not more than 6 months, or both.

(Added Pub. L. 104-88, title I, §106(a), Dec. 29, 1995, 109 Stat. 931.)

#### PRIOR PROVISIONS

Provisions similar to those in this section were contained in section 11910 of this title prior to the general amendment of this subtitle by Pub. L. 104-88, §102(a).

#### § 16104. Disobedience to subpenas

Whoever does not obey a subpena or requirement of the Board to appear and testify or produce records shall be fined under title 18 or imprisoned not more than 1 year, or both.

(Added Pub. L. 104–88, title I, §106(a), Dec. 29, 1995, 109 Stat. 931.)

### PRIOR PROVISIONS

Provisions similar to those in this section were contained in section 11913 of this title prior to the general amendment of this subtitle by Pub. L. 104-88, §102(a).

# § 16105. General criminal penalty when specific penalty not provided

When another criminal penalty is not provided under this chapter, a pipeline carrier providing transportation subject to this part, and when that carrier is a corporation, a director or officer of the corporation, or a receiver, trustee, lessee, or person acting for or employed by the corporation that, alone or with another person, willfully violates this part or an order prescribed under this part, shall be fined under title 18 or imprisoned not more than 2 years, or both.

A separate violation occurs each day a violation of this part continues.

(Added Pub. L. 104–88, title I, 106(a), Dec. 29, 1995, 109 Stat. 931.)

#### PRIOR PROVISIONS

Provisions similar to those in this section were contained in section 11914 of this title prior to the general amendment of this subtitle by Pub. L. 104-88, §102(a).

# § 16106. Punishment of corporation for violations committed by certain individuals

An act or omission that would be a violation of this subtitle if committed by a director, officer, receiver, trustee, lessee, agent, or employee of a pipeline carrier providing transportation or service subject to this part that is a corporation is also a violation of this part by that corporation. The penalties of this chapter apply to that violation. When acting in the scope of their employment, the actions and omissions of individuals acting for or employed by that carrier are considered to be the actions and omissions of that carrier as well as that individual.

(Added Pub. L. 104–88, title I, §106(a), Dec. 29, 1995, 109 Stat. 931.)

#### PRIOR PROVISIONS

Provisions similar to those in this section were contained in section 11915 of this title prior to the general amendment of this subtitle by Pub. L. 104-88, §102(a).

#### SUBTITLE V—RAIL PROGRAMS

#### PART A—SAFETY

| Chapter     | •                                    | Sec.  |
|-------------|--------------------------------------|-------|
| 201.        | General                              | 20101 |
| 203.        | Safety Appliances                    | 20301 |
| 205.        | Signal Systems                       | 20501 |
| 207.        | Locomotives                          | 20701 |
| 209.        | Accidents and Incidents              | 20901 |
| 211.        | Hours of Service                     | 21101 |
| 213.        | Penalties                            | 21301 |
|             | PART B—ASSISTANCE                    |       |
| 221.        | Local Rail Freight Assistance        | 22101 |
| 223.        | Capital Grants for Class II and      |       |
|             | Class III Railroads                  | 22301 |
| [225.       | Repealed.                            |       |
| 227.        | State rail plans 1                   | 22701 |
|             | _                                    |       |
| F           | PART C—PASSENGER TRANSPORTATIO       | DΝ    |
| <b>241.</b> | General                              | 24101 |
| 242.        | Project delivery 2                   | 24201 |
| <b>243.</b> | Amtrak                               | 24301 |
| <b>244.</b> | Rail improvement grants <sup>3</sup> | 24401 |
| [245.       | Repealed.]                           |       |
| <b>247.</b> | Amtrak Route System                  | 24701 |
| 249.        | Northeast Corridor Improvement       |       |
|             | Program                              | 24901 |
|             | PART D—HIGH-SPEED RAIL               |       |
| 261.        | High-Speed Rail Assistance           | 26101 |
|             | PART E-MISCELLANEOUS                 |       |
| 281.        | Law Enforcement                      | 28101 |
| 283.        | Standard Work Day                    | 28301 |
|             | •                                    |       |

 $<sup>^{\</sup>rm l}\,{\rm So}$  in original. Probably should be "State Rail Plans".

<sup>&</sup>lt;sup>2</sup>So in original. Probably should be "Project Delivery".

 $<sup>^3\</sup>mathrm{So}$  in original. Probably should be "Rail Improvement Grants".

#### 

2015-Pub. L. 114-94, div. A, title XI, §§11301(c)(3), 11303(b)(2), 11503(c), Dec. 4, 2015, 129 Stat. 1648, 1654, 1692, struck out item for chapter 225 "Federal grants to States for highway-rail grade crossing safety", added item for chapter 242, and substituted "Rail improvement grants" for "Intercity Passenger Rail Service Corridor Capital Assistance" in item for chapter 244.

2008—Pub. L. 110–432, div. A, title II, §207(b), div. B, title III, §301(b), title IV, §401(b), Oct. 16, 2008, 122 Stat. 4875, 4946, 4956, added items for chapters 225, 244, and 285

Pub. L. 110-432, div. B, title III, §303(b), Oct. 16, 2008, 122 Stat. 4951, which directed insertion of the item for chapter 227 after the item for chapter 223, was executed by making the insertion after the item for chapter 225 to reflect the probable intent of Congress.

2007—Pub. L. 110–140, title XI, §1112(b), Dec. 19, 2007, 121 Stat. 1759, substituted "Capital Grants for Class II and Class III Railroads" for "Light Density Rail Line Pilot Projects" in item for chapter 223.

1998—Pub. L. 105–178, title VII, \$7202(b), June 9, 1998 112 Stat. 471, added item for chapter 223.

1997—Pub. L. 105–134, title I, §106(a), Dec. 2, 1997, 111 Stat. 2573, struck out item for chapter 245 "Amtrak Commuter".

1996—Pub. L. 104–287, § 5(56)(B), Oct. 11, 1996, 110 Stat. 3394, added item for chapter 283.

1994—Pub. L. 103-440, title I, \$103(b)(1), Nov. 2, 1994, 108 Stat. 4618, added part D and item for chapter 261, struck out former part D "MISCELLANEOUS" and former item for chapter 261 "Law Enforcement . . . 26101", and added part E and item for chapter 281.

#### PART A—SAFETY

#### CHAPTER 201—GENERAL

#### SUBCHAPTER I—GENERAL

| Sec.   |  |  |
|--------|--|--|
| 20101. | Purpose.   |  |
| 20102. | Definitions.   |  |
| 20103. | General authority.   |  |
| 20104. | Emergency authority.   |  |
| 20105. | State participation.   |  |
| 20106. | National uniformity of regulation. <sup>1</sup>                    |  |
| 20107. | Inspection and investigation.                                      |  |
| 20108. | Research, development, testing, and training.                      |  |
| 20109. | Employee protections.  |  |
| 20110. | Effect on employee qualifications and collec-                      |  |
|        | tive bargaining.   |  |
| 20111. | Enforcement by the Secretary of Transpor-                          |  |
|        | tation.  |  |
| 20112. | Enforcement by the Attorney General.                               |  |
| 20113. | Enforcement by the States.   |  |
| 20114. | Judicial procedures.   |  |
| 20115. | User fees.   |  |
| 20116. | Rulemaking process.  |  |
| 20117. | Authorization of appropriations.                                   |  |
| 20118. | Prohibition on public disclosure of railroad                       |  |
|        | safety analysis records.   |  |
| 20119. | Study on use of certain reports and surveys.                       |  |
| 20120. | Enforcement report.  |  |
| 20121. | Repair and replacement of damaged track in-<br>spection equipment. |  |
| GIIDO  | III ADMED II DADMICIII AD ACDROMO OF                               |  |

## SUBCHAPTER II—PARTICULAR ASPECTS OF SAFETY

Restricted access to rolling equipment.

20131.

| 20132. | Visible markers for rear cars.                 |
|--------|--|
| 20133. | Passenger cars.                                |
| 20134. | Grade crossings and railroad rights of way.    |
| 20135. | Licensing or certification of locomotive oper- |
|        | ators.   |

 $<sup>^{\</sup>rm 1}{\rm Section}$  catchline amended by Pub. L. 110–53 without corresponding amendment of chapter analysis.

| DCC.   |   |
|--------|---|
| 20136. | Automatic train control and related systems |
| 20137. | Event recorders.                            |

20137. Event recorders.20138. Tampering with safety and operational monitoring devices.

20139. Maintenance-of-way operations on railroad

bridges.

20140. Alcohol and controlled substances testing.

20141. Power brake safety.20142. Track safety.

20143. Locomotive visibility.

20144. Blue signal protection for on-track vehicles.

20145. Report on bridge displacement detection systems.

20146. Institute for Railroad Safety.

20147. Warning of civil liability.

20148. Railroad car visibility.

20149. Coordination with the Department of Labor.

20150. Positive train control system progress report.
20151. Railroad trespassing, vandalism, and highway-rail grade crossing warning sign viola-

tion prevention strategy.

20152. Notification of grade crossing problems. 20153. Audible warnings at highway-rail grade

. Audible warnings at highway-rail grade crossings.

[20154. Repealed.] 20155 Tank cars

20156. Railroad safety risk reduction program.

20157. Implementation of positive train control sys-

tems. 20158. Railroad safety technology grants.

20159. Roadway user sight distance at highway-rail

grade crossings.

20160. National crossing inventory.20161. Fostering introduction of new technology to

20161. Fostering introduction of new technology to improve safety at highway-rail grade crossings.

20163. Certification of train conductors.

20164. Development and use of rail safety technology.

20165. Limitations on non-Federal alcohol and drug testing by railroad carriers.<sup>2</sup>

20166. Emergency escape breathing apparatus.

[20167. Repealed.]

20168. Installation of audio and image recording devices.

### AMENDMENTS

2015–Pub. L. 114–94, div. A, title XI, §§11301(c)(1), 11411(b), 11413(b), Dec. 4, 2015, 129 Stat. 1648, 1687, 1689, added items 20121 and 20168 and struck out items 20154 "Capital grants for rail line relocation projects" and 20167 "Railroad safety infrastructure improvement grants". Items 20121 and 20168 were added to the analysis for this chapter to reflect the probable intent of Congress, notwithstanding directory language adding those items to the analyses for subchapters I and II of this chapter, respectively.

2008—Pub. L. 110—432, div. A, title I, §§ 103(b), 104(b), 105(b), 107(b), 109(b), title II, §§ 203(b), 204(b), 205(b), 208(b), 210(b), title III, § 303(b), title IV, §§ 401(b), 402(e), 406(b), 409(b), 413(b), 418(b), Oct. 16, 2008, 122 Stat. 4856, 4858—4860, 4867, 4869, 4871, 4873, 4876, 4877, 4879, 4883, 4884, 4884, 4892, added items 20116 and 20118 to 20120, substituted "Railroad trespassing, vandalism, and highway-rail grade crossing warning sign violation prevention strategy" for "Railroad trespassing and vandalism prevention strategy" in item 20151 and "Notification of grade crossing problems" for "Emergency notification of grade crossing problems" in item 20152, and added items 20156 to 20167.

2005—Pub. L. 109-59, title IX, §§9002(a)(2), 9005(b)(2), Aug. 10, 2005, 119 Stat. 1921, 1925, added items 20154 and 20155.

1995—Pub. L. 104-66, title I, §1121(g)(2), Dec. 21, 1995, 109 Stat. 724, struck out item 20116 "Biennial report".

<sup>&</sup>lt;sup>2</sup>So in original. Does not conform to section catchline.