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#### SUBCHAPTER I—GENERAL

# § 40101. Definition of institution of higher education

In this chapter, the term "institution of higher education" has the meaning given the term by section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001).

(Pub. L. 111-314, §3, Dec. 18, 2010, 124 Stat. 3378.)

HISTORICAL AND REVISION NOTES

| Revised<br>Section | Source (U.S. Code) | Source (Statutes at Large)  |
|--------------------|--------------------|---|
| 40101              | 42 U.S.C. 16701.   | Pub. L. 109–155, title IV,<br>§401, Dec. 30, 2005, 119<br>Stat. 2923. |

# § 40102. Governmental interest in aeronautics research and development

Congress reaffirms the national commitment to aeronautics research made in chapter 201 of this title. Aeronautics research and development remains a core mission of the Administration. The Administration is the lead agency for civil aeronautics research. Further, the government of the United States shall promote aeronautics research and development that will expand the capacity, ensure the safety, and increase the efficiency of the Nation's air transportation system, promote the security of the Nation, protect the environment, and retain the leadership of the United States in global aviation

(Pub. L. 111-314, §3, Dec. 18, 2010, 124 Stat. 3379.)

HISTORICAL AND REVISION NOTES

| Revised<br>Section | Source (U.S. Code) | Source (Statutes at Large)   |
|--------------------|--------------------|--|
| 40102              | 42 U.S.C. 16711.   | Pub. L. 109–155, title IV,<br>§ 411, Dec. 30, 2005, 119<br>Stat. 2923. |

Ex. Ord. No. 13419. National Aeronautics Research and Development

Ex. Ord. No. 13419, Dec. 20, 2006, 71 F.R. 77565, provided:

By the authority vested in me as President by the Constitution and the laws of the United States of America, including section 204 of the National Science and Technology Policy, Organization, and Priorities Act of 1976, as amended (42 U.S.C. 6613), section 101(c) of the National Aeronautics and Space Administration

Authorization Act of 2005 (Public Law 109–155), and section 301 of title 3, United States Code, it is hereby ordered as follows:

SECTION 1. National Aeronautics Research and Development Policy. Continued progress in aeronautics, the science of flight, is essential to America's economic success and the protection of America's security interests at home and around the globe. Accordingly, it shall be the policy of the United States to facilitate progress in aeronautics research and development (R&D) through appropriate funding and activities of the Federal Government, in cooperation with State, territorial, tribal, local, and foreign governments, international organizations, academic and research institutions, private organizations, and other entities, as appropriate. The Federal Government shall only undertake roles in supporting aeronautics R&D that are not more appropriately performed by the private sector. The National Aeronautics Research and Development Policy prepared by the National Science and Technology Council should, to the extent consistent with this order and its implementation, guide the aeronautics R&D programs of the United States through 2020

SEC. 2. Functions of the Director of the Office of Science and Technology Policy. To implement the policy set forth in section 1 of this order, the Director of the Office of Science and Technology Policy (the "Director") shall:

(a) review the funding and activities of the Federal Government relating to aeronautics R&D;

- (b) recommend to the President, the Director of the Office of Management and Budget, and the heads of executive departments and agencies, as appropriate, such actions with respect to funding and activities of the Federal Government relating to aeronautics R&D as may be necessary to
- (i) advance United States technological leadership in aeronautics;
- (ii) support innovative research leading to significant advances in aeronautical concepts, technologies, and capabilities;
- (iii) pursue and develop advanced aeronautics concepts and technologies, including those for advanced aircraft systems and air transportation management systems, to benefit America's security and effective and efficient national airspace management;
- (iv) maintain and advance United States aeronautics research, development, test and evaluation infrastructure to provide effective experimental and computational capabilities in support of aeronautics R&D;
- (v) facilitate the educational development of the future aeronautics workforce as needed to further Federal Government interests;
- (vi) enhance coordination and communication among executive departments and agencies to maximize the effectiveness of Federal Government R&D resources; and
- (vii) ensure appropriate Federal Government coordination with State, territorial, tribal, local, and foreign governments, international organizations, academic and research institutions, private organizations, and other entities.
- SEC. 3. Implementation of National Aeronautics Research and Development Policy. To implement the policy set forth in section 1 of this order, the Director shall:
- (a) develop and, not later than 1 year after the date of this order, submit for approval by the President a plan for national aeronautics R&D and for related infrastructure, (the "plan"), and thereafter submit, not less often than biennially, to the President for approval any changes to the plan;
- (b) monitor and report to the President as appropriate on the implementation of the approved plan;
- (c) ensure that executive departments and agencies conducting aeronautics R&D:
- (i) obtain and exchange information and advice, as appropriate, from organizations and individuals outside the Federal Government in support of Federal Government planning and performance of aeronautics R&D;

- (ii) develop and implement, as appropriate, measures for improving dissemination of R&D results and facilitating technology transition from R&D to applications; and
- (iii) identify and promote innovative policies and approaches that complement and enhance Federal Government aeronautics R&D investment; and
- (d) report to the President on the results of the efforts of executive departments and agencies to implement paragraphs (c)(i) through (iii) of this section.
- SEC. 4. General Provisions. (a) In implementing this order, the Director shall:
- (i) obtain as appropriate the assistance of the National Science and Technology Council in the performance of the Director's functions under this order, consistent with Executive Order 12881 of November 23, 1993, as amended:
- (ii) coordinate as appropriate with the Director of the Office of Management and Budget; and
- (iii) obtain information and advice from all sources as appropriate, including individuals associated with academic and research institutions and private organizations.
- (b) The functions of the President under subsection (c) of section 101 of the National Aeronautics and Space Administration Authorization Act of 2005, except the function of designation, are assigned to the Director of the Office of Science and Technology Policy. In performing these assigned functions, the Director shall, as appropriate, consult the Administrator of the National Aeronautics and Space Administration, the Secretary of Defense, the Secretary of Transportation, the Director of the Office of Management and Budget, and other heads of executive departments and agencies as appropriate. The Director also shall ensure that all actions taken in the performance of such functions are consistent with the authority set forth in subsections (a) through (d) of section 6 of Executive Order 13346 of July 8, 2004.
- (c) This order shall be implemented in a manner consistent with:
- (i) applicable law, including section 102A(i) of the National Security Act of 1947, as amended ([former] 50 U.S.C. 403-1(i)) [now 50 U.S.C. 3024(i)], and subject to the availability of appropriations; and
- (ii) statutory authority of the principal officers of executive departments and agencies as the heads of their respective departments and agencies.
- (d) This order shall not be construed to impair or otherwise affect the functions of the Director of the Office of Management and Budget relating to budget, administrative, and legislative proposals.
- (e) This order is not intended to, and does not, create any rights or benefits, substantive or procedural, enforceable at law or in equity by a party against the United States, its departments, agencies, instrumentalities, or entities, its officers, employees, or agents, or any other person.

GEORGE W. BUSH.

## § 40103. Cooperation with other agencies on aeronautics activities

The Administrator shall coordinate, as appropriate, the Administration's aeronautics activities with relevant programs in the Department of Transportation, the Department of Defense, the Department of Commerce, and the Department of Homeland Security, including the activities of the Next Generation Air Transportation System Joint Planning and Development Office established under section 709 of the Vision 100—Century of Aviation Reauthorization Act (Public Law 108–176, 49 U.S.C. 40101 note).

(Pub. L. 111-314, §3, Dec. 18, 2010, 124 Stat. 3379.)

HISTORICAL AND REVISION NOTES

| Revised<br>Section | Source (U.S. Code)  | Source (Statutes at Large)  |
|--------------------|---------------------|---|
| 40103              | 42 U.S.C. 16712(b). | Pub. L. 110-69, title II,<br>§ 2002(b), Aug. 9, 2007, 121<br>Stat. 583. |

The words "Next Generation Air Transportation System" are inserted before "Joint Planning and Development Office" for consistency with section 709 of the Vision 100—Century of Aviation Reauthorization Act (Public Law 108-176, 49 U.S.C. 40101 note).

### § 40104. Cooperation among Mission Directorates

Research and development activities performed by the Aeronautics Research Mission Directorate with the primary objective of assisting in the development of a flight project in another Mission Directorate shall be funded by the Mission Directorate seeking assistance.

(Pub. L. 111–314, §3, Dec. 18, 2010, 124 Stat. 3379.)

HISTORICAL AND REVISION NOTES

| Revised<br>Section | Source (U.S. Code) | Source (Statutes at Large)  |
|--------------------|--------------------|---|
| 40104              | 42 U.S.C. 17724.   | Pub. L. 110–422, title III,<br>§ 307, Oct. 15, 2008, 122<br>Stat. 4788. |

SUBCHAPTER II—HIGH PRIORITY AERO-NAUTICS RESEARCH AND DEVELOPMENT PROGRAMS

### § 40111. Fundamental research program

- (a) OBJECTIVE.—In order to ensure that the Nation maintains needed capabilities in fundamental areas of aeronautics research, the Administrator shall establish a program of long-term fundamental research in aeronautical sciences and technologies that is not tied to specific development projects.
- (b) OPERATION.—The Administrator shall conduct the program under this section, in part by awarding grants to institutions of higher education. The Administrator shall encourage the participation of institutions of higher education located in States that participate in the Experimental Program to Stimulate Competitive Research. All grants to institutions of higher education under this section shall be awarded through merit review.

(Pub. L. 111–314, §3, Dec. 18, 2010, 124 Stat. 3379.)

HISTORICAL AND REVISION NOTES

| Revised<br>Section | Source (U.S. Code)       | Source (Statutes at Large)  |
|--------------------|--------------------------|---|
| 40111              | 42 U.S.C. 16721(a), (b). | Pub. L. 109-155, title IV,<br>§421(a), (b), Dec. 30, 2005,<br>119 Stat. 2924. |

### § 40112. Research and technology programs

- (a) SUPERSONIC TRANSPORT RESEARCH AND DEVELOPMENT.—The Administrator may establish an initiative with the objective of developing and demonstrating, in a relevant environment, airframe and propulsion technologies to enable efficient, economical overland flight of supersonic civil transport aircraft with no significant impact on the environment.
- $(\bar{b})$  ROTORCRAFT AND OTHER RUNWAY-INDEPENDENT AIR VEHICLES.—The Administrator may es-