

DISPOSITION TABLE—CONTINUED

(Showing disposition of sections of former chapter 17 of Title 7)

Former Classification	New Classification or Disposition
7:411b note (Pub. L. 89-556, title I, Sept. 7, 1966, 80 Stat. 694).	7:590a note
7:411b note (Pub. L. 90-113, title I, Oct. 24, 1967, 81 Stat. 325).	7:590a note
7:411b note (Pub. L. 90-463, title I, Aug. 8, 1968, 82 Stat. 644).	7:590a note
7:411b note (Pub. L. 91-127, title I, Nov. 26, 1969, 83 Stat. 250).	7:590a note
7:411b note (Pub. L. 91-566, title I, Dec. 22, 1970, 84 Stat. 1487).	7:590a note
7:411b note (Pub. L. 92-73, title I, Aug. 10, 1971, 85 Stat. 187).	7:590a note
7:411b note (Pub. L. 92-399, title I, Aug. 22, 1972, 86 Stat. 596).	7:590a note
7:411b note (Pub. L. 93-135, title I, Oct. 24, 1973, 87 Stat. 474).	7:590a note
7:411b note (Pub. L. 93-563, title I, Dec. 31, 1974, 88 Stat. 1826).	7:590a note
7:411b note (Pub. L. 94-122, title I, Oct. 21, 1975, 89 Stat. 648).	7:590a note
7:411b note (Pub. L. 94-351, title I, July 12, 1976, 90 Stat. 855).	7:590a note
7:411b note (Pub. L. 95-97, title I, Aug. 12, 1977, 91 Stat. 815).	7:590a note
7:411b note (Pub. L. 95-448, title I, Oct. 11, 1978, 92 Stat. 1079).	7:590a note
7:411b note (Pub. L. 96-108, title I, Nov. 9, 1979, 93 Stat. 827).	7:590a note
7:411b note (Pub. L. 96-528, title I, Dec. 15, 1980, 95 Stat. 3101).	7:590a note
7:411b note (Pub. L. 97-103, title I, Dec. 23, 1981, 95 Stat. 1473).	7:590a note
7:411b note (Pub. L. 97-370, title I, Dec. 18, 1982, 96 Stat. 1794).	7:590a note
7:411b note (Pub. L. 99-190, §101(a) [H.R. 3037, title II, Dec. 19, 1985, 99 Stat. 1185]).	7:590a note
7:414a	7:1436a
7:415	7:2241b
7:415b	omitted
7:415c	omitted
7:415d	omitted
7:415e	7:2247a
7:415e note (June 30, 1939, ch. 253, title I, 53 Stat. 968).	7:2247a note
7:415e note (June 25, 1940, ch. 421, 54 Stat. 555).	7:2247a note
7:415e note (July 1, 1941, ch. 267, 55 Stat. 431).	7:2247a note
7:415e note (July 22, 1942, ch. 516, 56 Stat. 688).	7:2247a note
7:415e note (July 12, 1943, ch. 215, 57 Stat. 421).	7:2247a note
7:415e note (June 28, 1944, ch. 296, 58 Stat. 454).	7:2247a note
7:416	omitted
7:417	7:7643
7:418	7:2207c
7:420	7:2217a
7:421	omitted
7:422	omitted
7:423	7:2120
7:424	7:2121
7:425	7:2122
7:426	7:8351
7:426 note (Pub. L. 99-190, §101(a) [H.R. 3037, title I, §101], Dec. 19, 1985, 99 Stat. 1185).	7:8351 note
7:426 note (Pub. L. 111-11, title VI, subtitle C, Mar. 30, 2009, 123 Stat. 1170).	7:8351 note
7:426b	7:8352
7:426c	7:8353
7:426d	7:8354
7:426d note (Pub. L. 108-199, div. A, title VII, §776, Jan. 23, 2004, 118 Stat. 41).	7:8354 note
7:426d note (Pub. L. 108-447, div. A, title VII, §758, Dec. 8, 2004, 118 Stat. 2846).	7:8354 note
7:427	7:3104
7:427 note (Ex. Ord. No. 9310, Mar. 6, 1943, 8 F.R. 2913).	7:3104 note
7:427i	7:3105

DISPOSITION TABLE—CONTINUED

(Showing disposition of sections of former chapter 17 of Title 7)

Former Classification	New Classification or Disposition
7:428a	7:2268a
7:428b	7:5942
7:428c	7:5943
7:428c note (Pub. L. 94-214, §1, Feb. 16, 1976, 90 Stat. 181).	7:5801 note
7:430	7:398
7:431	21:626
7:432	7:5941
7:433	7:399
7:433 note (Apr. 30, 1946, ch. 242, §3, 60 Stat. 126).	7:399 note
7:434	7:399 note
7:436	omitted
7:437	omitted
7:437 note (Apr. 21, 1948, ch. 224, §4, 62 Stat. 196).	omitted
7:439	omitted
7:439a	omitted
7:439b	omitted
7:439c	omitted
7:439d	omitted
7:439e	omitted
7:440	7:1436
7:442	16:667f
7:443	16:667f-1
7:444	16:667f-2
7:445	16:667f-3
7:447	16:667g
7:448	16:667g-1
7:449	16:667g-2
7:450	7:1633
7:450a	7:3318a
7:450b	7:22791
7:450c	7:2204-1
7:450d	7:2204-2
7:450e	7:2204-3
7:450f	7:2204-4
7:450g	7:2204-5
7:450i	7:3157
7:450i note (Pub. L. 99-198, title XIV, §1409(a)(3), Dec. 23, 1985, 99 Stat. 1547).	7:3157 note
7:450i note (Pub. L. 99-198, title XIV, §1409(b)(2), Dec. 23, 1985, 99 Stat. 1547).	7:3157 note
7:450i note (Pub. L. 110-234, title VII, §7406(c), May 22, 2008, 122 Stat. 1251, and Pub. L. 110-246, §4(a), title VII, §7406(c), June 18, 2008, 122 Stat. 1664, 2013).	7:3157 note
7:450j	7:4551
7:450j note (Pub. L. 88-452, title III, §331, Aug. 20, 1964, 78 Stat. 525).	7:4551 note
7:450j note (Pub. L. 89-16, title III, §303, Apr. 30, 1965, 79 Stat. 108).	7:4551 note
7:450j note (Pub. L. 89-253, §24, Oct. 29, 1965, 79 Stat. 977).	7:4551 note
7:450j note (Pub. L. 89-794, title III, §301(c), Nov. 8, 1966, 80 Stat. 1465).	7:4551 note
7:450j note (Pub. L. 90-95, §1, Sept. 28, 1967, 81 Stat. 231).	7:4551 note
7:450k	7:4552
7:450k note (Pub. L. 88-452, title III, §331, Aug. 20, 1964, 78 Stat. 525).	7:4552 note
7:450k note (Pub. L. 89-16, title III, §303, Apr. 30, 1965, 79 Stat. 108).	7:4552 note
7:450k note (Pub. L. 89-253, §24, Oct. 29, 1965, 79 Stat. 977).	7:4552 note
7:450k note (Pub. L. 89-794, title III, §301(c), Nov. 8, 1966, 80 Stat. 1465).	7:4552 note
7:450k note (Pub. L. 90-95, §2, Sept. 28, 1967, 81 Stat. 231).	7:4552 note
7:450l	7:4553
7:450l note (Pub. L. 88-452, title III, §331, Aug. 20, 1964, 78 Stat. 525).	7:4553 note
7:450l note (Pub. L. 89-16, title III, §303, Apr. 30, 1965, 79 Stat. 108).	7:4553 note
7:450l note (Pub. L. 89-253, §24, Oct. 29, 1965, 79 Stat. 977).	7:4553 note
7:450l note (Pub. L. 89-794, title III, §301(c), Nov. 8, 1966, 80 Stat. 1465).	7:4553 note
7:450l note (Pub. L. 90-95, §3, Sept. 28, 1967, 81 Stat. 231).	7:4553 note

CHAPTER 1—COMMODITY EXCHANGES

Sec.

1. Short title.

Sec.		Sec.	
1a.	Definitions.	8.	Application for designation as contract market or derivatives transaction execution facility; time; suspension or revocation of designation; hearing; review by court of appeals.
1b.	Requirements of Secretary of the Treasury regarding exemption of foreign exchange swaps and foreign exchange forwards from definition of the term "swap".	9.	Prohibition regarding manipulation and false information.
2.	Jurisdiction of Commission; liability of principal for act of agent; Commodity Futures Trading Commission; transaction in interstate commerce.	9a.	Assessment of money penalties.
2a to 4a.	Transferred.	9b.	Rules prohibiting deceptive and other abusive telemarketing acts or practices.
5.	Findings and purpose.	9c.	Notice of investigations and enforcement actions.
6.	Regulation of futures trading and foreign transactions.	10.	Repealed.
6a.	Excessive speculation.	10a.	Cooperative associations and corporations, exclusion from board of trade; rules of board inapplicable to payment of compensation by association.
6b.	Contracts designed to defraud or mislead.	11.	Vacation on request of designation or registration as "registered entity"; redesignation or reregistration.
6b-1.	Enforcement authority.	12.	Public disclosure.
6c.	Prohibited transactions.	12-1 to 12-3.	Omitted.
6d.	Dealing by unregistered futures commission merchants or introducing brokers prohibited; duties in handling customer receipts; conflict-of-interest systems and procedures; Chief Compliance Officer; rules to avoid duplicative regulations; swap requirements; portfolio margining accounts.	12a.	Registration of commodity dealers and associated persons; regulation of registered entities.
6e.	Dealings by unregistered floor trader or broker prohibited.	12b.	Trading ban violations; prohibition.
6f.	Registration and financial requirements; risk assessment.	12c.	Disciplinary actions.
6g.	Reporting and recordkeeping.	12d.	Commission action for noncompliance with export sales reporting requirements.
6h.	False self-representation as registered entity member prohibited.	12e.	Repealed.
6i.	Reports of deals equal to or in excess of trading limits; books and records; cash and controlled transactions.	13.	Violations generally; punishment; costs of prosecution.
6j.	Restrictions on dual trading in security futures products on designated contract markets and registered derivatives transaction execution facilities.	13-1.	Violations, prohibition against dealings in motion picture box office receipts or onion futures; punishment.
6k.	Registration of associates of futures commission merchants, commodity pool operators, and commodity trading advisors; required disclosure of disqualifications; exemptions for associated persons.	13a.	Nonenforcement of rules of government or other violations; cease and desist orders; fines and penalties; imprisonment; misdemeanor; separate offenses.
6l.	Commodity trading advisors and commodity pool operators; Congressional finding.	13a-1.	Enjoining or restraining violations.
6m.	Use of mails or other means or instrumentalities of interstate commerce by commodity trading advisors and commodity pool operators; relation to other law.	13a-2.	Jurisdiction of States.
6n.	Registration of commodity trading advisors and commodity pool operators; application; expiration and renewal; record keeping and reports; disclosure; statements of account.	13b.	Manipulations or other violations; cease and desist orders against persons other than registered entities; punishment.
6o.	Fraud and misrepresentation by commodity trading advisors, commodity pool operators, and associated persons.	13c.	Responsibility as principal; minor violations.
6o-1.	Transferred.	14.	Repealed.
6p.	Standards and examinations.	15, 15a.	Omitted or Repealed.
6q.	Special procedures to encourage and facilitate bona fide hedging by agricultural producers.	15b.	Cotton futures contracts.
6r.	Reporting and recordkeeping for uncleared swaps.	16.	Commission operations.
6s.	Registration and regulation of swap dealers and major swap participants.	16a.	Service fees and National Futures Association study.
6t.	Large swap trader reporting.	17.	Separability.
7.	Designation of boards of trade as contract markets.	17a.	Separability of 1936 amendment.
7a.	Repealed.	17b.	Separability of 1968 amendment.
7a-1.	Derivatives clearing organizations.	18.	Complaints against registered persons.
7a-2.	Common provisions applicable to registered entities.	19.	Consideration of costs and benefits and anti-trust laws.
7a-3.	Repealed.	20.	Market reports.
7b.	Suspension or revocation of designation as registered entity.	21.	Registered futures associations.
7b-1.	Designation of securities exchanges and associations as contract markets.	22.	Research and information programs; reports to Congress.
7b-2.	Privacy.	23.	Standardized contracts for certain commodities.
7b-3.	Swap execution facilities.	24.	Customer property with respect to commodity broker debtors; definitions.
		24a.	Swap data repositories.
		25.	Private rights of action.
		26.	Commodity whistleblower incentives and protection.
		27.	Definitions.
		27a.	Exclusion of identified banking product.
		27b.	Repealed.
		27c.	Exclusion of certain other identified banking products.
		27d.	Administration of the predominance test.
		27e.	Repealed.
		27f.	Contract enforcement.
		§ 1. Short title	
			This chapter may be cited as the "Commodity Exchange Act."