

this section and sections 8432 and 8440a to 8440e of this title] shall take effect on the date of enactment of this Act [Dec. 21, 2000].

“(2) COORDINATION WITH ELECTION PERIODS.—The Executive Director shall by regulation determine the first election period in which elections may be made consistent with the amendments made by this section.

“(3) DEFINITIONS.—For purposes of this section—

“(A) the term ‘election period’ means a period afforded under section 8432(b) of title 5, United States Code; and

“(B) the term ‘Executive Director’ has the meaning given such term by section 8401(13) of title 5, United States Code.”

#### EFFECTIVE DATE OF 1999 AMENDMENTS

Amendment by Pub. L. 106-168 applicable with respect to transfers occurring before, on, or after Dec. 12, 1999, with special rule for applying amendment with respect to transfers occurring before Dec. 12, 1999, see section 203(c) of Pub. L. 106-168, set out as an Effective Date note under section 8431 of this title.

Amendment by section 661(a)(3)(B)(ii) of Pub. L. 106-65 effective 180 days after Oct. 30, 2000, unless postponed, see section 663 of Pub. L. 106-65, as amended, set out as an Effective Date note under section 8440e of this title.

#### EFFECTIVE DATE OF 1996 AMENDMENT

Amendment by Pub. L. 104-208 effective Sept. 30, 1996, and withdrawals and elections as provided under such amendment to be made at earliest practicable date as determined by Executive Director in regulations, see section 101(f) [title VI, § 659 [title II, § 207]] of Pub. L. 104-208, set out as a note under section 5545a of this title.

#### EFFECTIVE DATE OF 1994 AMENDMENTS

Amendment by Pub. L. 103-353 effective Oct. 13, 1994, and applicable to any employee whose release from military service, discharge from hospitalization, or other similar event making the individual eligible to seek restoration or reemployment under chapter 43 of Title 38, Veterans' Benefits, occurs on or after Aug. 2, 1990, with special rules for applying amendment to employees restored or reemployed before effective date, see section 4(e), (f) of Pub. L. 103-353, set out as an Effective Date note under section 8432b of this title.

Pub. L. 103-226, § 9(j), Mar. 30, 1994, 108 Stat. 122, provided that: “This section [amending this section and sections 8433 to 8435, 8437, and 8440a to 8440d of this title] shall take effect 1 year after the date of the enactment of this Act [Mar. 30, 1994] or on such earlier date as the Executive Director of the Federal Retirement Thrift Investment Board shall provide in regulation.” [Implementing regulations were published in the Federal Register Feb. 21, 1995, 60 F.R. 9595, effective Mar. 10, 1995.]

#### EFFECTIVE DATE OF 1992 AMENDMENT

Pub. L. 102-484, div. D, title XLIV, § 4437(d), Oct. 23, 1992, 106 Stat. 2725, provided that: “The amendments made by this section [amending this section and sections 8433 and 8435 of this title] shall apply with respect to separations occurring after December 31, 1993, or such earlier date as the Executive Director (appointed under section 8474 of title 5, United States Code) may by regulation prescribe.”

#### EFFECTIVE DATE OF 1991 AMENDMENT

Pub. L. 102-183, title III, § 308(b), Dec. 4, 1991, 105 Stat. 1266, provided that:

“(1) The amendment made by subsection (a) [amending this section] shall take effect as of January 1, 1987.

“(2) Any refund which becomes payable as a result of the effective date specified in paragraph (1) shall, to the extent that that refund involves an individual's contributions to the Thrift Savings Fund (established under section 8437 of title 5, United States Code), be adjusted to reflect any earnings attributable thereto.”

#### EFFECTIVE DATE OF 1990 AMENDMENT

Pub. L. 101-335, § 3(c), July 17, 1990, 104 Stat. 321, provided that: “Subsections (a) and (b), and the amendments made by such subsections [amending this section and sections 8438, 8440a, and 8440b of this title and enacting provisions set out as a note under section 8438 of this title], shall be effective as of the second election period described in section 8432(b) of title 5, United States Code, beginning after the date of enactment of this Act [July 17, 1990], or as of such earlier date as the Executive Director may by regulation prescribe.”

Pub. L. 101-335, § 6(c), July 17, 1990, 104 Stat. 324, provided that: “This section, and the amendments made by this section [amending this section and sections 8401, 8433, 8435, 8440a, and 8440b of this title and enacting provisions set out as a note under section 8433 of this title], shall be effective as of the second election period described in section 8432(b) of title 5, United States Code, beginning after the date of enactment of this Act [July 17, 1990] (or as of such earlier date as the Executive Director may by regulation prescribe), and shall apply with respect to separations occurring before, on, or after that effective date.”

#### EFFECTIVE DATE OF 1988 AMENDMENT

Pub. L. 100-238, title I, § 111(b), Jan. 8, 1988, 101 Stat. 1750, provided that: “The amendments made by subsection (a) [amending this section] shall be effective as of March 31, 1987. Any refund which becomes payable as a result of the preceding sentence shall, to the extent that such refund involves an individual's contributions to the Thrift Savings Fund (established under section 8437 of title 5, United States Code), be adjusted to reflect any earnings attributable thereto.”

#### EFFECTIVE DATE

Section effective Jan. 1, 1987, see section 702(a) of Pub. L. 99-335, set out as a note under section 8401 of this title.

#### PERIOD WHEN ELECTION MAY FIRST BE MADE

Pub. L. 99-335, title II, § 206(b), June 6, 1986, 100 Stat. 594, as amended by Pub. L. 99-509, title VI, § 6001(b), Oct. 21, 1986, 100 Stat. 1930, provided that an election could first be made by a Federal employee or a Member of Congress under 5 U.S.C. 8351 (a)(2) during an election period prescribed by Executive Director of Federal Retirement Thrift Investment Board to begin on Apr. 1, 1987, with such election to take effect on first day of employee's or Member's first pay period which began on or after the date of the election. The maximum amount that an employee or Member could elect to contribute during any pay period which began on or after Apr. 1, 1987, and before Oct. 1, 1987, was an amount equal to 7.5 percent of the individual's basic pay for that period.

## CHAPTER 84—FEDERAL EMPLOYEES' RETIREMENT SYSTEM

### SUBCHAPTER I—GENERAL PROVISIONS

Sec.	
8401.	Definitions.
8402.	Federal Employees' Retirement System; exclusions.
8403.	Relationship to the Social Security Act.

### SUBCHAPTER II—BASIC ANNUITY

8410.	Eligibility for annuity.
8411.	Creditable service.
8412.	Immediate retirement.
8412a.	Phased retirement.
8413.	Deferred retirement.
8414.	Early retirement.
8415.	Computation of basic annuity.
8416.	Survivor reduction for a current spouse.
8417.	Survivor reduction for a former spouse.
8418.	Survivor elections; deposit; offsets.

Sec.  
8419. Survivor reductions; computation.  
8420. Insurable interest reductions.  
8420a. Alternative forms of annuities.  
8421. Annuity supplement.  
8421a. Reductions on account of earnings from work performed while entitled to an annuity supplement.  
8422. Deductions from pay; contributions for other service; deposits.  
8423. Government contributions.  
8424. Lump-sum benefits; designation of beneficiary; order of precedence.  
8425. Mandatory separation.

#### SUBCHAPTER III—THRIFT SAVINGS PLAN

8431. Certain transfers to be treated as a separation.  
8432. Contributions.  
8432a. Payment of lost earnings.  
8432b. Contributions of persons who perform military service.  
8432c. Contributions of certain persons reemployed after service with international organizations.  
8432d. Qualified Roth contribution program.  
8433. Benefits and election of benefits.  
8434. Annuities: methods of payment; election; purchase.  
8435. Protections for spouses and former spouses.  
8436. Administrative provisions.  
8437. Thrift Savings Fund.  
8438. Investment of Thrift Savings Fund.  
8439. Accounting and information.  
8440. Tax treatment of the Thrift Savings Fund.  
8440a. Justices and judges.  
8440b. Bankruptcy judges and magistrate judges.  
8440c. Court of Federal Claims judges.  
8440d. Judges of the United States Court of Appeals for Veterans Claims.  
8440e. Members of the uniformed services.  
8440f. Maximum percentage allowable for certain participants.

#### SUBCHAPTER IV—SURVIVOR ANNUITIES

8441. Definitions.  
8442. Rights of a widow or widower.  
8443. Rights of a child.  
8444. Rights of a named individual with an insurable interest.  
8445. Rights of a former spouse.

#### SUBCHAPTER V—DISABILITY BENEFITS

8451. Disability retirement.  
8452. Computation of disability annuity.  
8453. Application.  
8454. Medical examination.  
8455. Recovery; restoration of earning capacity.  
8456. Military reserve technicians.

#### SUBCHAPTER VI—GENERAL AND ADMINISTRATIVE PROVISIONS

8461. Authority of the Office of Personnel Management.  
8462. Cost-of-living adjustments.  
8463. Rate of benefits.  
8464. Commencement and termination of annuities of employees and Members.  
8464a. Relationship between annuity and workers' compensation.  
8465. Waiver, allotment, and assignment of benefits.  
8466. Application for benefits.  
8467. Court orders.  
8468. Annuities and pay on reemployment.  
8469. Withholding of State income taxes.  
8470. Exemption from legal process; recovery of payments.

#### SUBCHAPTER VII—FEDERAL RETIREMENT THRIFT INVESTMENT MANAGEMENT SYSTEM

8471. Definitions.

Sec.  
8472. Federal Retirement Thrift Investment Board.  
8473. Employee Thrift Advisory Council.  
8474. Executive Director.  
8475. Investment policies.  
8476. Administrative provisions.  
8477. Fiduciary responsibilities; liability and penalties.  
8478. Bonding.  
8478a. Investigative authority.  
8479. Exculpatory provisions; insurance.  
8480. Subpoena authority.

#### AMENDMENTS

2012—Pub. L. 112-141, div. F, title I, § 100121(b)(2), July 6, 2012, 126 Stat. 914, added item 8412a.

2009—Pub. L. 111-84, div. A, title XIX, § 1904(b)(3)(B), Oct. 28, 2009, 123 Stat. 2617, added item 8422 and struck out former item 8422 “Deductions from pay; contributions for other service”.

Pub. L. 111-31, div. B, title I, §§ 103(b), 107(b), June 22, 2009, 123 Stat. 1854, 1856, added items 8432d and 8480.

2001—Pub. L. 107-107, div. A, title XI, § 1132(b)(2)(C), Dec. 28, 2001, 115 Stat. 1244, substituted “other service” for “military service” in item 8422.

2000—Pub. L. 106-554, § 1(a)(4) [div. B, title I, § 138(a)(7)(B)], Dec. 21, 2000, 114 Stat. 2763, 2763A-234, added item 8440f.

1999—Pub. L. 106-168, title II, § 203(a)(2), Dec. 12, 1999, 113 Stat. 1820, added item 8431.

Pub. L. 106-113, div. B, § 1000(a)(7) [div. A, title III, § 334(b)], Nov. 29, 1999, 113 Stat. 1536, 1501A-441, added item 8432c.

Pub. L. 106-65, div. A, title VI, §§ 661(a)(2)(B), 663, Oct. 5, 1999, 113 Stat. 671, 673, as amended by Pub. L. 106-398, § 1 [[div. A], title VI, § 661(a)], Oct. 30, 2000, 114 Stat. 1654, 1654A-167, added item 8440e, effective 180 days after Oct. 30, 2000, unless postponed.

1998—Pub. L. 105-368, title V, § 512(b)(2)(B), Nov. 11, 1998, 112 Stat. 3342, substituted “Judges of the United States Court of Appeals for Veterans Claims” for “Judges of the United States Court of Veterans Appeals” in item 8440d.

1996—Pub. L. 104-208, div. A, title I, § 101(f) [title VI, § 659 [title II, § 206(b)(1)]], Sept. 30, 1996, 110 Stat. 3009-314, 3009-372, 3009-378, struck out item 8431 “Definition”.

1994—Pub. L. 103-353, § 4(a)(2), Oct. 13, 1994, 108 Stat. 3172, added item 8432b.

1992—Pub. L. 102-572, title IX, § 902(b)(2), Oct. 29, 1992, 106 Stat. 4516, substituted “Court of Federal Claims” for “Claims Court” in item 8440c.

Pub. L. 102-378, § 5(d)(2), Oct. 2, 1992, 106 Stat. 1358, amended directory language of Pub. L. 101-198, § 7(c)(4)(B). See 1991 Amendment note below.

1991—Pub. L. 102-198, § 7(c)(2), Dec. 9, 1991, 105 Stat. 1625, redesignated item 8440b “Claims Court judges” as item 8440c.

Pub. L. 102-198, § 7(c)(4)(B), Dec. 9, 1991, 105 Stat. 1625, as amended by Pub. L. 102-378, § 5(d)(2), Oct. 2, 1992, 106 Stat. 1358, redesignated item 8440c “Judges of the United States Court of Veterans Appeals” as item 8440d.

Pub. L. 102-82, § 5(a)(2), Aug. 6, 1991, 105 Stat. 376, added item 8440c “Judges of the United States Court of Veterans Appeals”.

1990—Pub. L. 101-650, title III, § 306(d)(2), Dec. 1, 1990, 104 Stat. 5111, which directed the amendment of the table of sections for this chapter by adding at the end thereof item 8440b “Claims Court judges”, was executed by adding that item after the first item 8440b.

Pub. L. 101-335, §§ 2(a)(2), 9(b), July 17, 1990, 104 Stat. 320, 326, added item 8432a and redesignated item 8440a “Bankruptcy judges and magistrates” as item 8440b.

1988—Pub. L. 100-659, § 7(b), Nov. 15, 1988, 102 Stat. 3920, added item 8440a “Bankruptcy judges and magistrates”.

Pub. L. 100-654, title IV, § 401(b), Nov. 14, 1988, 102 Stat. 3847, added item 8440a “Justices and judges”.

Pub. L. 100-238, title I, § 124(a)(2), (b)(2), Jan. 8, 1988, 101 Stat. 1756, added item 8464a, redesignated item 8457