§ 78a

Sec.

78p.

78o-11.

Credit risk retention.

Directors, officers, and principal stockhold-

than those as to which it is held invalid shall not be affected thereby.

(May 27, 1933, ch. 38, title III, §328, as added Aug. 3, 1939, ch. 411, 53 Stat. 1177.)

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tical rating organizations.

Study and rulemaking on assigned credit rat-

Registration and regulation of security-based

swap dealers and major security-based swap

Universal ratings symbols.

ings.

participants.

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§ 78a. Short title

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This chapter may be cited as the "Securities Exchange Act of 1934."

Small Business Capital Formation Advisory

(June 6, 1934, ch. 404, title I, §1, 48 Stat. 881.)

Federal Home Loan Banks.

Investor Advisory Committee.

Committee

REFERENCES IN TEXT

This chapter, referred to in text, was in the original "This Act" meaning the Securities Exchange Act of 1934, act June 6, 1934, ch. 404. The act was divided into two titles as follows: "Title II—Regulation of Securities Exchanges" and "Title II—Amendments to Securities Act of 1933." This section was section 1 of title I of the Act, which title, as amended, is set out as sections 78a to 78d–5, 78e to 78l, 78m, 78m–1, 78n to 78o, 78o–3, 78o–4, 78o–5 to 78o–7, 78o–10 to 78u–6, 78v to 78dd–1, 78ee to 78hh, 78mm, 78pp, and 78qq of this title. Sections 78kk, 78ll, 78nn, and 78oo of this title, which were directed to be