the prohibition in subsection (d) with respect to the use of furosemide on covered horses during such period.

#### (2) Exceptions

An exemption under paragraph (1) may not be requested for—

- (A) two-year-old covered horses; or
- (B) covered horses competing in stakes races.

#### (3) Contents of request

A request under paragraph (1) shall specify the applicable State racing commission's requested limitations on the use of furosemide that would apply to the State under the horseracing anti-doping and medication control program during such period. Such limitations shall be no less restrictive on the use and administration of furosemide than the restrictions set forth in State's laws and regulations in effect as of September 1, 2020.

#### (4) Grant of exemption

Subject to subsection (e)(3), the Authority shall grant an exemption requested under paragraph (1) for the remainder of such period and shall allow the use of furosemide on covered horses in the applicable State, in accordance with the requested limitations.

## (g) Baseline anti-doping and medication control

#### (1) In general

Subject to paragraph (3), the baseline antidoping and medication control rules described in paragraph (2) shall—

- (A) constitute the initial rules of the horseracing anti-doping and medication control program; and
- (B) except as exempted pursuant to subsections (e) and (f), remain in effect at all times after the program effective date.

# (2) Baseline anti-doping medication control rules described

## (A) In general

The baseline anti-doping and medication control rules described in this paragraph are the following:

- (i) The lists of permitted and prohibited substances (including drugs, medications, and naturally occurring substances and synthetically occurring substances) in effect for the International Federation of Horseracing Authorities, including the International Federation of Horseracing Authorities International Screening Limits for urine, dated May 2019, and the International Federation of Horseracing Authorities International Screening Limits for plasma, dated May 2019.
- (ii) The World Anti-Doping Agency International Standard for Laboratories (version 10.0), dated November 12, 2019.
- (iii) The Association of Racing Commissioners International out-of-competition testing standards, Model Rules of Racing (version 9.2).
- (iv) The Association of Racing Commissioners International penalty and multiple medication violation rules, Model Rules of Racing (version 6.2).

#### (B) Conflict of rules

In the case of a conflict among the rules described in subparagraph (A), the most stringent rule shall apply.

#### (3) Modifications to baseline rules

# (A) Development by anti-doping and medication control standing committee

The anti-doping and medication control standing committee, in consultation with the anti-doping and medication control enforcement agency, may develop and submit to the Authority for approval by the Authority proposed modifications to the baseline anti-doping and medication control rules.

#### (B) Authority approval

If the Authority approves a proposed modification under this paragraph, the proposed modification shall be submitted to and considered by the Commission in accordance with section 3053 of this title.

## (C) Anti-doping and medication control enforcement agency veto authority

The Authority shall not approve any proposed modification that renders an antidoping and medication control rule less stringent than the baseline anti-doping and medication control rules described in paragraph (2) (including by increasing permitted medication thresholds, adding permitted medications, removing prohibited medications, or weakening enforcement mechanisms) without the approval of the antidoping and medication control enforcement agency.

(Pub. L. 116-260, div. FF, title XII, §1206, Dec. 27, 2020, 134 Stat. 3263.)

#### REFERENCES IN TEXT

This chapter, referred to in subsecs. (a)(2), (b)(4), and (c)(4)(D), was in the original "this Act" and was translated as reading "this title", meaning title XII of div. FF of Pub. L. 116-260, to reflect the probable intent of Congress.

## § 3056. Racetrack safety program

## (a) Establishment and considerations

#### (1) In general

Not later than the program effective date, and after notice and an opportunity for public comment in accordance with section 3053 of this title, the Authority shall establish a racetrack safety program applicable to all covered horses, covered persons, and covered horseraces in accordance with the registration of covered persons under section 3054(d) of this title

# (2) Considerations in development of safety program

In the development of the horseracing safety program for covered horses, covered persons, and covered horseraces, the Authority and the Commission shall take into consideration existing safety standards including the National Thoroughbred Racing Association Safety and Integrity Alliance Code of Standards, the International Federation of Horseracing Authority's International Agreement on

Breeding, Racing, and Wagering, and the British Horseracing Authority's Equine Health and Welfare program.

#### (b) Elements of horseracing safety program

The horseracing safety program shall include the following:

- (1) A set of training and racing safety standards and protocols taking into account regional differences and the character of differing racing facilities.
- (2) A uniform set of training and racing safety standards and protocols consistent with the humane treatment of covered horses, which may include lists of permitted and prohibited practices or methods (such as crop use).
- (3) A racing surface quality maintenance system that—
  - (A) takes into account regional differences and the character of differing racing facilities; and
  - (B) may include requirements for track surface design and consistency and established standard operating procedures related to track surface, monitoring, and maintenance (such as standardized seasonal assessment, daily tracking, and measurement).
- (4) A uniform set of track safety standards and protocols, that may include rules governing oversight and movement of covered horses and human and equine injury reporting and prevention.
- (5) Programs for injury and fatality data analysis, that may include pre- and post-training and race inspections, use of a veterinarian's list, and concussion protocols.
- (6) The undertaking of investigations at racetrack and non-racetrack facilities related to safety violations.
- (7) Procedures for investigating, charging, and adjudicating violations and for the enforcement of civil sanctions for violations.
- (8) A schedule of civil sanctions for violations.
- (9) Disciplinary hearings, which may include binding arbitration, civil sanctions, and research.
  - (10) Management of violation results.
- (11) Programs relating to safety and performance research and education.
- (12) An evaluation and accreditation program that ensures that racetracks in the United States meet the standards described in the elements of the Horseracing Safety Program.

#### (c) Activities

The following activities shall be carried out under the racetrack safety program:

## (1) Standards for racetrack safety

The development, by the racetrack safety standing committee of the Authority in section 3052(c)(2) of this title of uniform standards for racetrack and horseracing safety.

# (2) Standards for safety and performance accreditation

## (A) In general

Not later than 120 days before the program effective date, the Authority, in consultation with the racetrack safety standing com-

mittee, shall issue, by rule in accordance with section 3053 of this title—

- (i) safety and performance standards of accreditation for racetracks; and
- (ii) the process by which a racetrack may achieve and maintain accreditation by the Authority.

## (B) Modifications

### (i) In general

The Authority may modify rules establishing the standards issued under subparagraph (A), as the Authority considers appropriate.

#### (ii) Notice and comment

The Commission shall publish in the Federal Register any proposed rule of the Authority, and provide an opportunity for public comment with respect to, any modification under clause (i) in accordance with section 3053 of this title.

## (C) Extension of provisional or interim accreditation

The Authority may, by rule in accordance with section 3053 of this title, extend provisional or interim accreditation to a racetrack accredited by the National Thoroughbred Racing Association Safety and Integrity Alliance on a date before the program effective date.

#### (3) Nationwide safety and performance database

#### (A) In general

Not later than one year after the program effective date, and after notice and an opportunity for public comment in accordance with section 3053 of this title, the Authority, in consultation with the Commission, shall develop and maintain a nationwide database of racehorse safety, performance, health, and injury information for the purpose of conducting an epidemiological study.

## (B) Collection of information

In accordance with the registration of covered persons under section 3054(d) of this title, the Authority may require covered persons to collect and submit to the database described in subparagraph (A) such information as the Authority may require to further the goal of increased racehorse welfare.

(Pub. L. 116-260, div. FF, title XII, §1207, Dec. 27, 2020, 134 Stat. 3267.)

### § 3057. Rule violations and civil sanctions

## (a) Description of rule violations

#### (1) In general

The Authority shall issue, by rule in accordance with section 3053 of this title, a description of safety, performance, and anti-doping and medication control rule violations applicable to covered horses and covered persons.

#### (2) Elements

The description of rule violations established under paragraph (1) may include the following: